当 Web of Science 遇上学位论文
——PQDT Citation Index 助您全方位探析课题领域的演进过程

王 振 | 科睿唯安

内容大纲

科学研究与学位论文

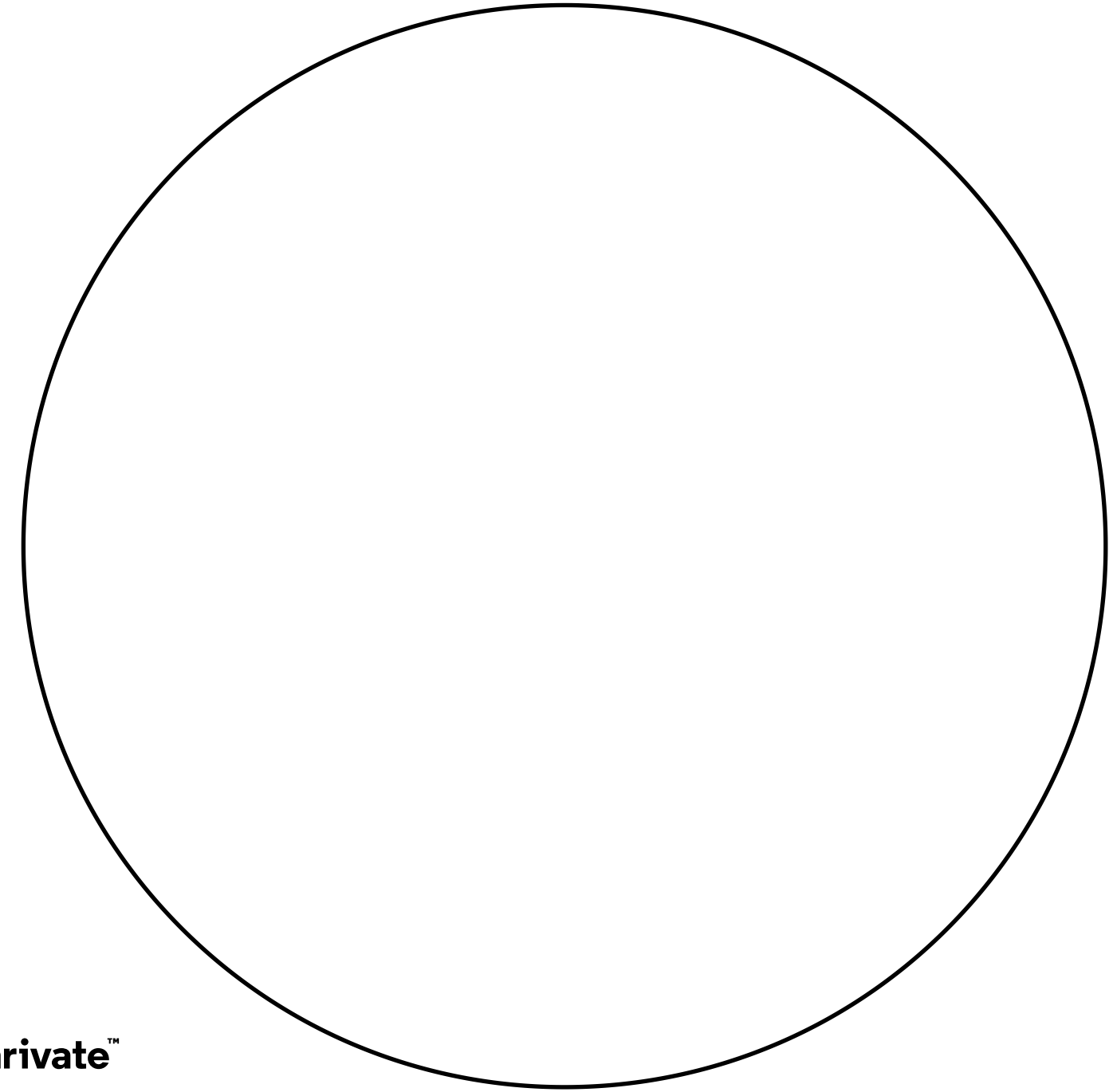
PQDT Citation Index 资源概览

PQDT Citation Index 的应用与展望

Clarivate™

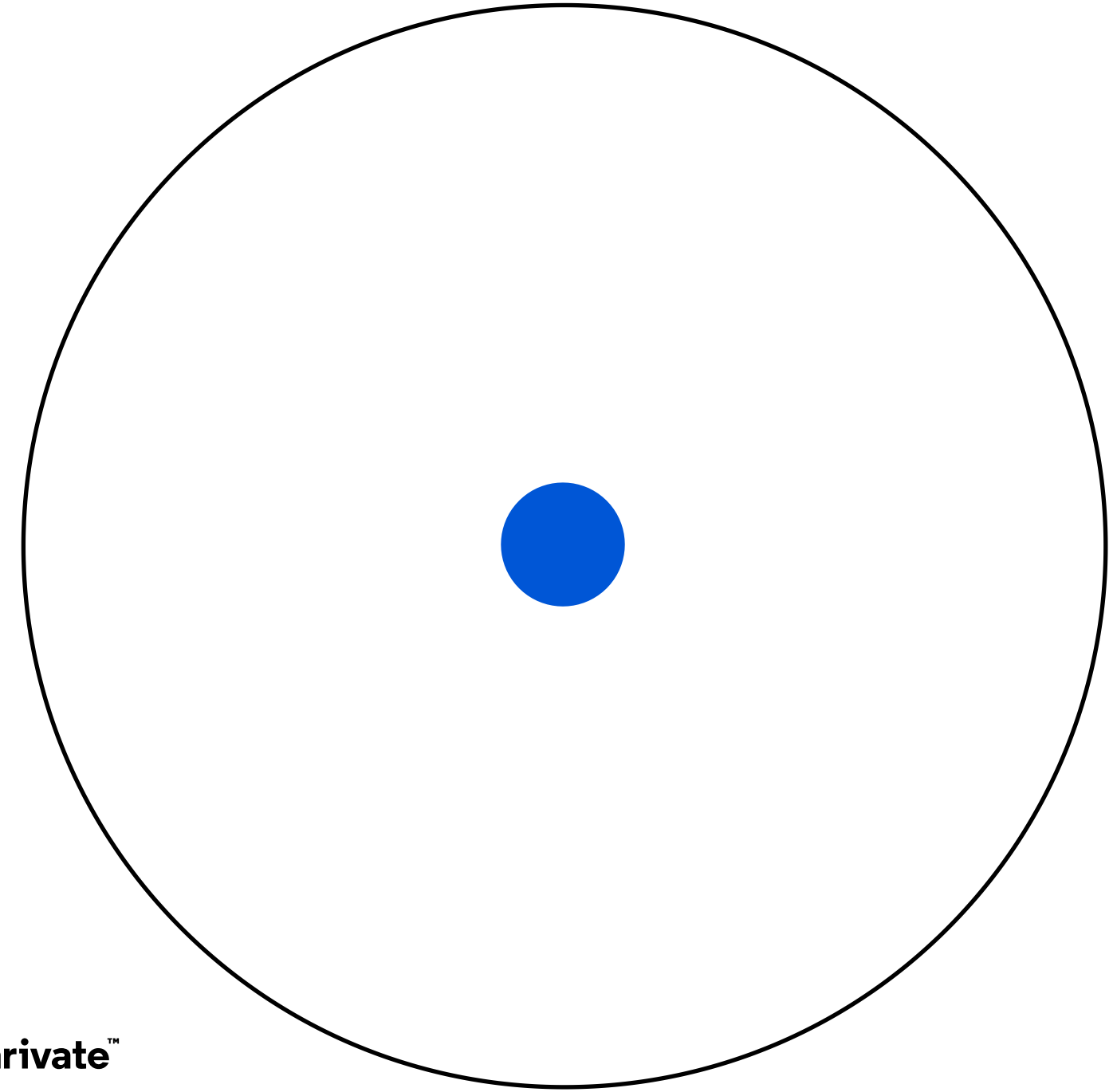
科学研究与学位论文

提到学位论文，你会想到什么？



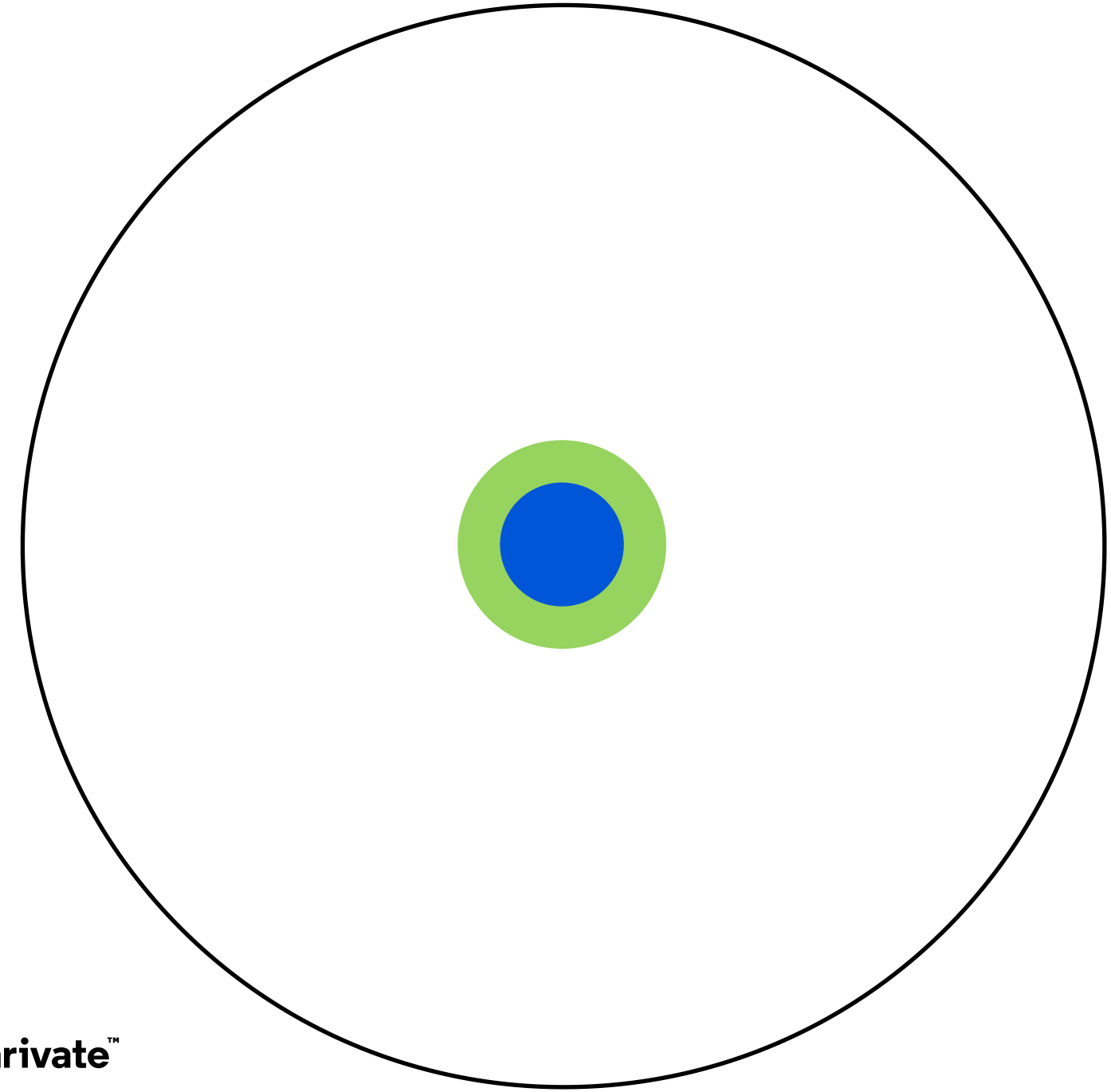
Imagine a circle that contains all of human knowledge

想象一个包含所有人类知识的圆圈



By the time you finish elementary school, you know a little

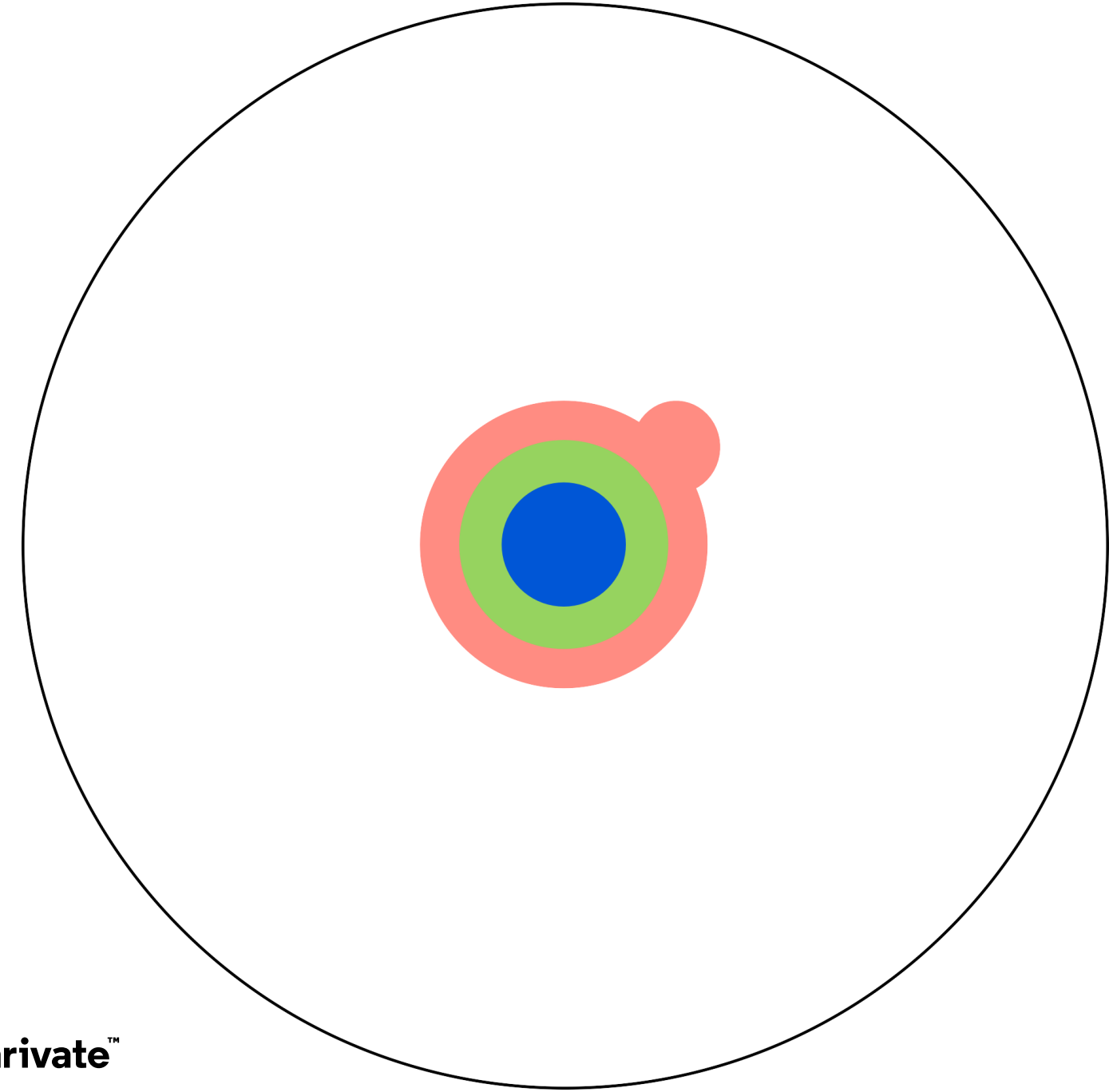
你从小学毕业的时候，你知道一点



By the time you finish high school, you know a bit more

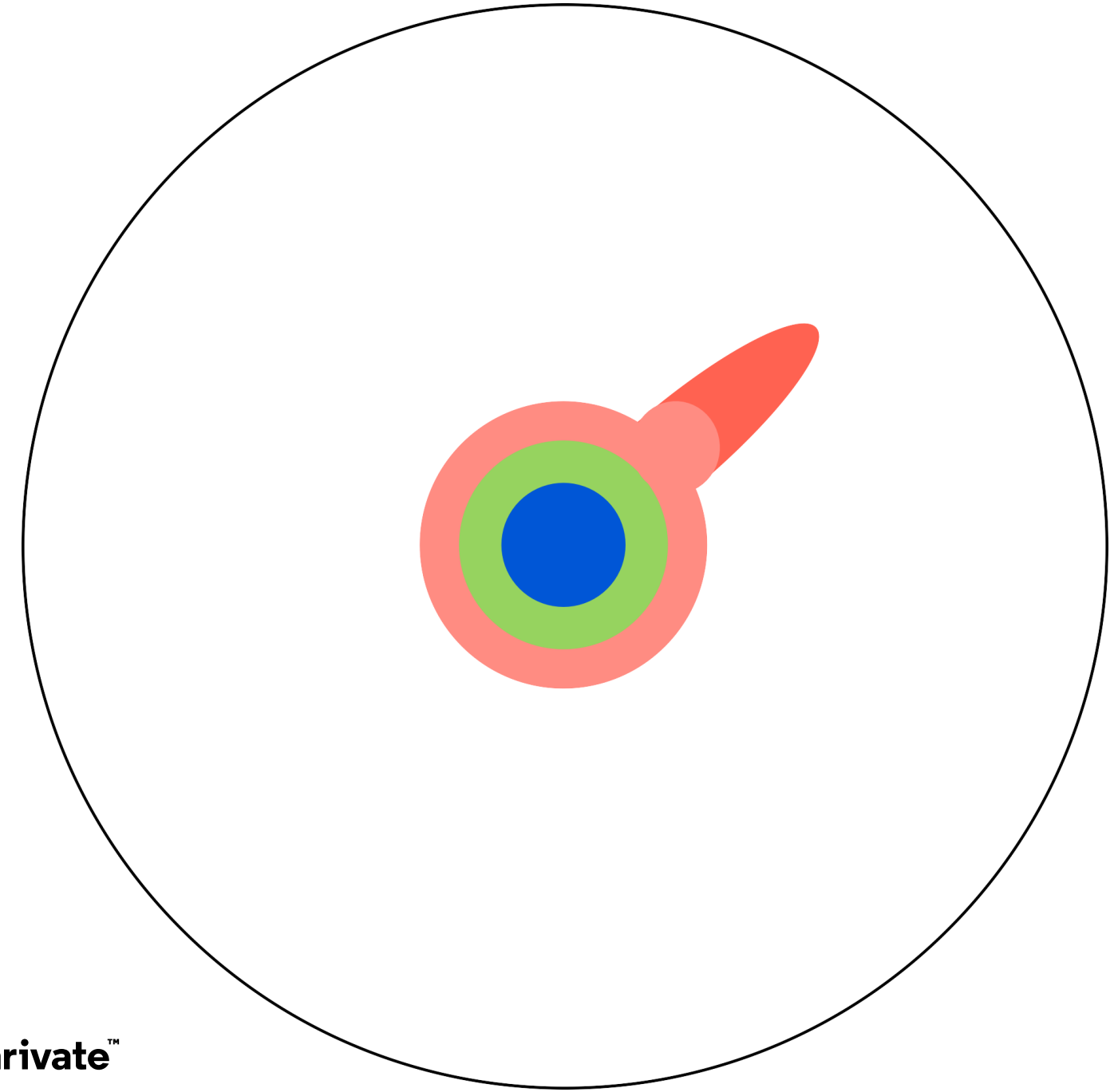
当你高中毕业的时候，你知道的更多了

http://matt.might.net/articles/phd-school-in-pictures/



With a bachelor's degree, you gain a specialty

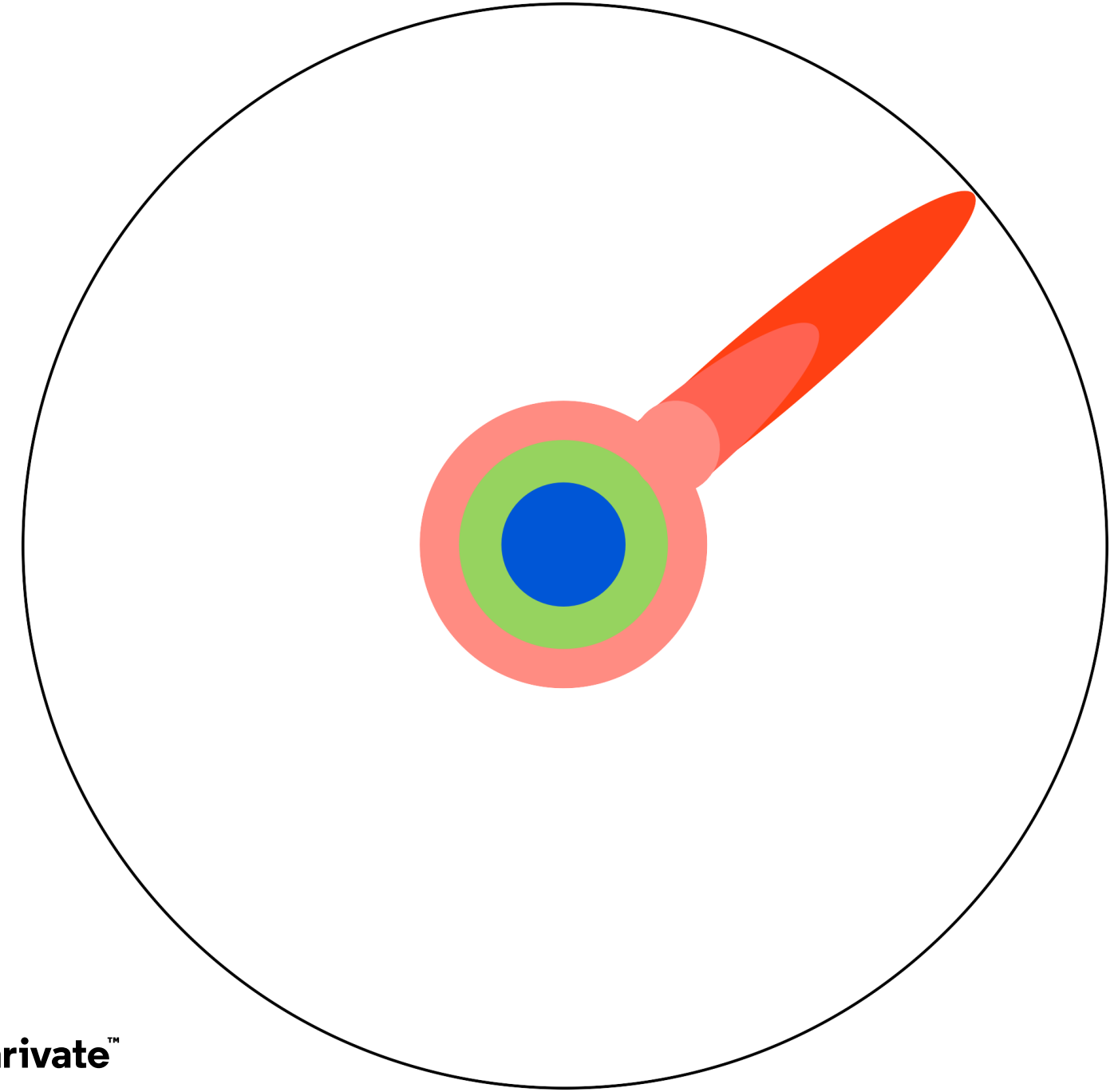
有了学士学位，你就获得了一门专业



A master's degree deepens that specialty

硕士学位加深了这一专业

http://matt.might.net/articles/phd-school-in-pictures/



Reading research papers takes you to the edge of human knowledge

阅读研究论文带你走到人类知识的边缘

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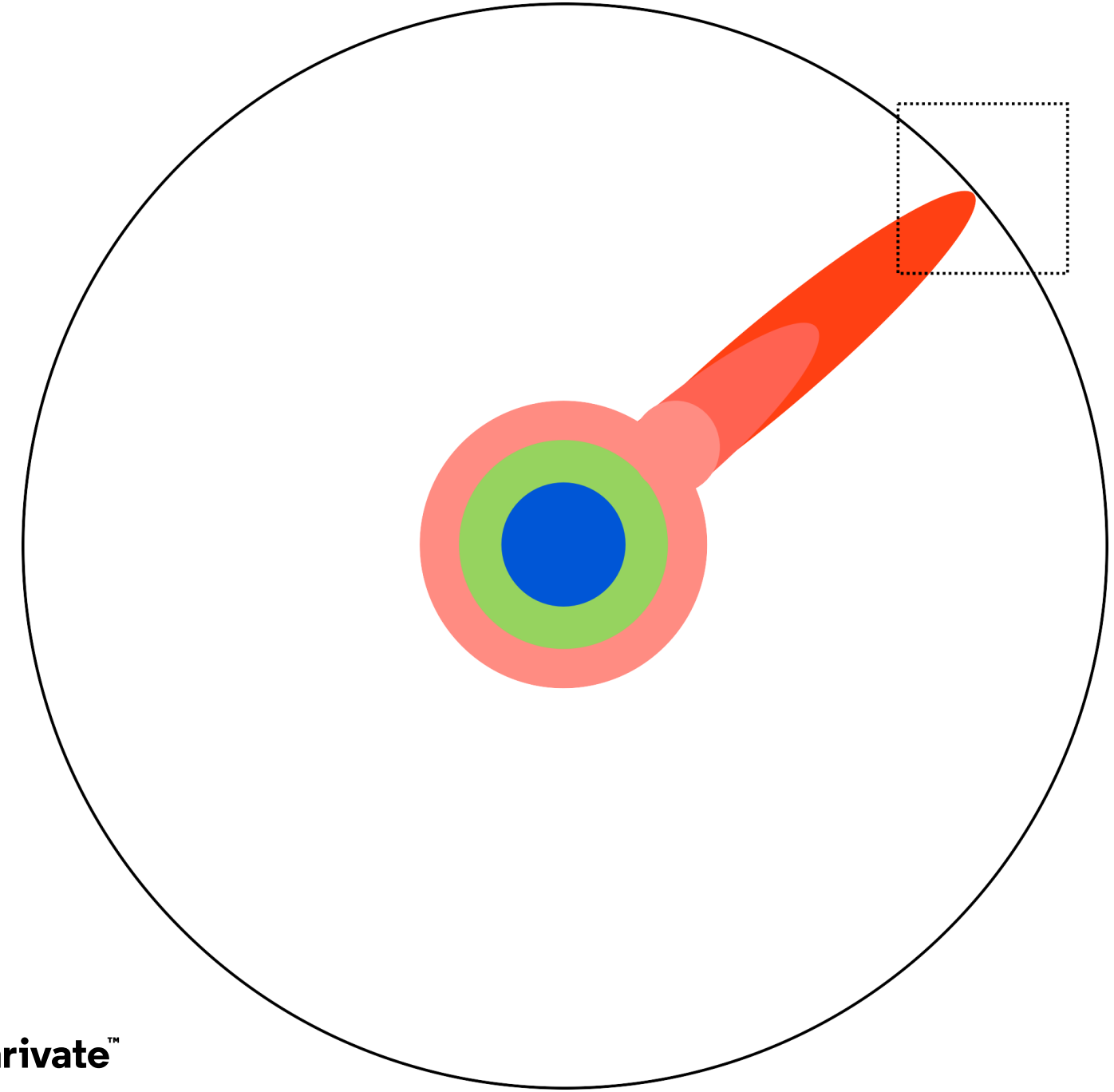
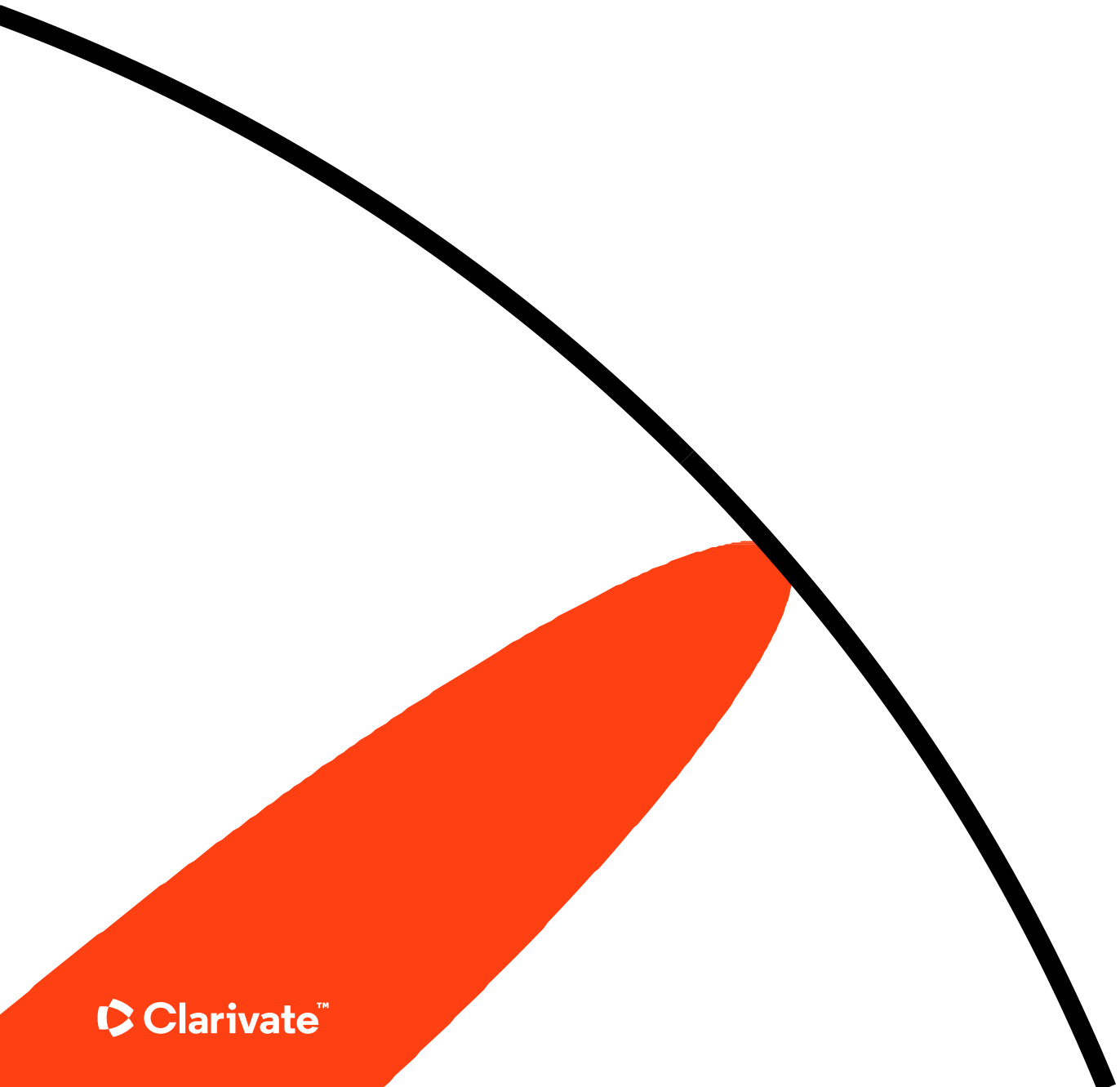
http://matt.might.net/articles/phd-school-in-pictures/



Once you're at the boundary, you focus

一旦到达边界，你就会集中注意力



You push at the boundary for a few years

你会在几年的时间里突破界限

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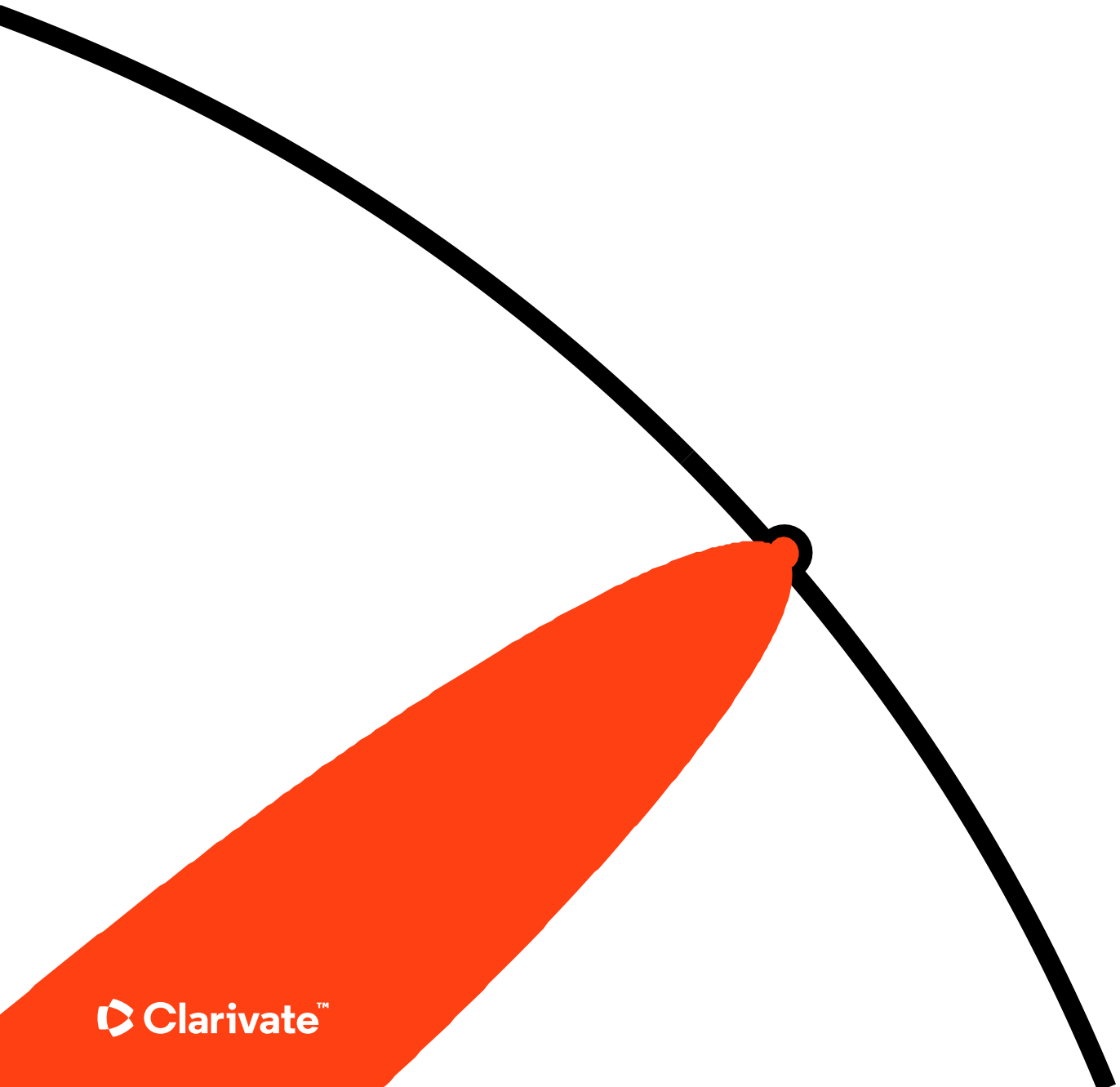
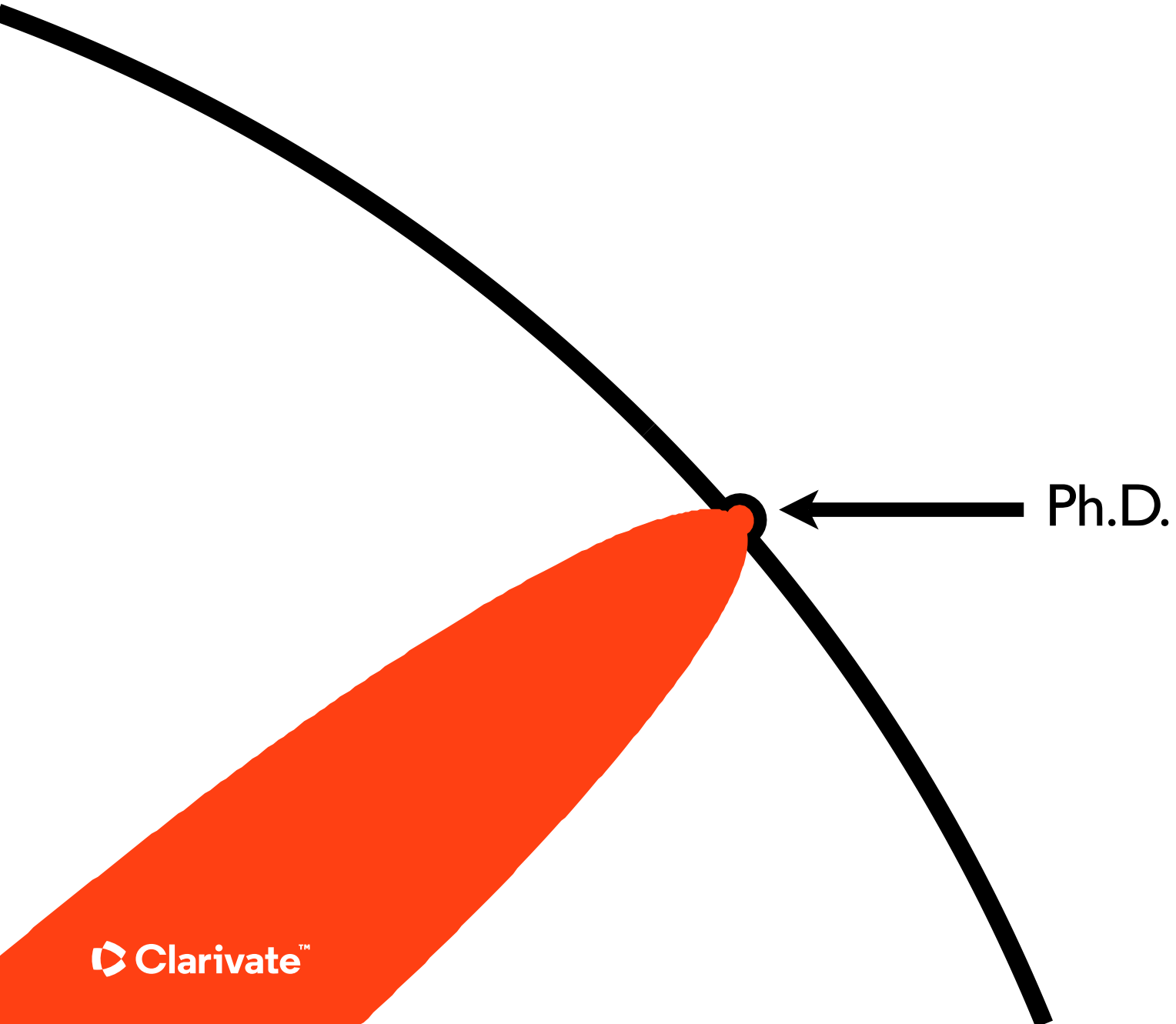


Until one day, the boundary gives way

直到有一天，界限消失了

http://matt.might.net/articles/phd-school-in-pictures/



And, that dent you've made is called a Ph.D

你留下的凹痕叫做博士学位

http://matt.might.net/articles/phd-school-in-pictures/

Of course, the world looks different to you now

当然，现在的世界对你来说不一样了

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http://matt.might.net/articles/phd-school-in-pictures/

特殊类型的文献?

篇幅长?

更多的研究细节?

提到学位论文，你会想到什么?

不易获取?

师承关系?

未在同行评议的期刊中发表?

博硕士论文为科研人员提供了丰富详实的科研基础知识

Web of Science的用户解释了为什么研究生工作对于理解一个研究主题的背景很重要

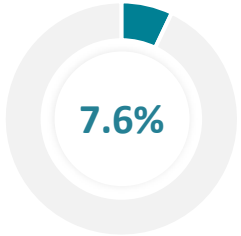
*“博硕士论文揭示了**尚未在同行评议文献中发表**的科研成果。”*

*“从博硕士论文中可以获得比在期刊论文中更多的细节，尤其是**实验细节**。”*

*“博硕士论文通常是获取**详细材料和方法**的重要资源，它们可能很有趣，并引发进一步的研究。”*

从学位论文到期刊论文

Springer

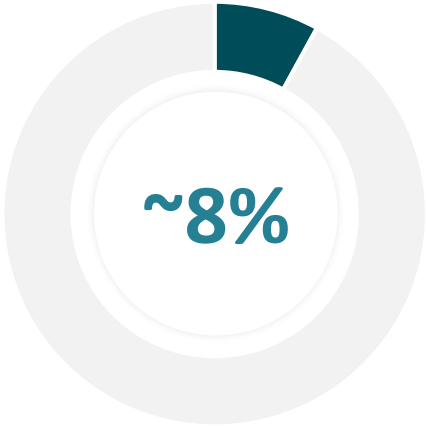


AAAS
American Association for the Advancement of Science

Not all graduate dissertations are submitted for publication

Majority of articles are rejected outright, prior to entering the peer review cycle

Acceptance rates vary, but top-tier publishers accept <20% of articles that are submitted.



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https://www.science.org/content/page/journal-metrics.
https://journalinsights.elsevier.com/journals/0142-9612/acceptance_rate.
https://www.nature.com/nature/for-authors/editorial-criteria-and-processes

博硕士论文在斯坦福大学科学研究中的应用

The Diversity-Innovation Paradox in Science（科学中的多样性与创新悖论）

–《美国科学院院报》(PNAS)2020年第17期

- 该研究基于PQDT Global**数据库中**1977**年至** 2015**年间美国学位授予单位的**1,208,246**篇博士论文，毕业生获得博士学位五年后在**Web of Science**平台发表的论文**，美国人口普查数据，并通过ProQuest TDM Studio文本与数据挖掘技术解决方案，运用文本分析与机器学习的方法对美国博士毕业生的就业状况及其后科研创新影响进行了实证分析。

The Diversity–Innovation Paradox in Science

Bas Hofstra[a,1], Vivek V. Kulkarni[b], Sebastian Munoz-Najar Galvez[a], Bryan He[b], Dan Jurafsky[b,c], and Daniel A. McFarland[a,1]

[a]Graduate School of Education, Stanford University, Stanford, CA 94305; [b]Department of Computer Science, Stanford University, Stanford, CA 94305; and [c]Department of Linguistics, Stanford University, Stanford, CA 94305

Edited by Peter S. Bearman, Columbia University, New York, NY, and approved March 16, 2020 (received for review September 5, 2019)

Prior work finds a diversity paradox: Diversity breeds innovation, yet underrepresented groups that diversify organizations have less successful careers within them. Does the diversity paradox hold for scientists as well? We study this by utilizing a near-complete population of ∼1.2 million US doctoral recipients from 1977 to 2015 and following their careers into publishing and faculty positions. We use text analysis and machine learning to answer a series of questions: How do we detect scientific innovations? Are underrepresented groups more likely to generate scientific innovations? And are the innovations of underrepresented groups adopted and rewarded? Our analyses show that underrepresented groups produce higher rates of scientific novelty. However, their novel contributions are devalued and discounted: For example, novel contributions by gender and racial minorities are taken up by other scholars at lower rates than novel contributions by gender and racial majorities, and equally impactful contributions of gender and racial minorities are less likely to result in successful scientific careers than for majority groups. These results suggest there may be unwarranted reproduction of stratification in academic careers that discounts diversity's role in innovation and partly explains the underrepresentation of some groups in academia.

diversity | innovation | science | inequality | sociology of science

Innovation drives scientific progress. Innovation propels science into uncharted territories and expands humanity's understanding of the natural and social world. Innovation is also believed to be predictive of successful scientific careers: Innovators are science's trailblazers and discoverers, so producing innovative science may lead to successful academic careers (1). At the same time, a common hypothesis is that demographic diversity brings such innovation (2–5). Scholars from underrepresented groups have origins, concerns, and experiences that differ from groups traditionally represented, and their inclusion in academe diversifies scholarly perspectives. In fact, historically underrepresented groups often draw relations between ideas and concepts that have been traditionally missed or ignored (4–7). Given this, if demographic groups are unequally represented in academia, then one would expect underrepresented groups to generate more scientific innovation than overrepresented groups and have more successful careers (*SI Appendix*). Unfortunately, the combination of these two relationships—diversity–innovation and innovation–careers—fails to result and poses a paradox. If gender and racially underrepresented scholars are likely to innovate and innovation supposedly leads to successful academic careers, then how do we explain persistent inequalities in scientific careers between minority and majority groups (8–13)? One explanation is that the scientific innovations produced by some groups are discounted, possibly leading to differences in scientific impact and successful careers.

In this paper, we set out to identify the diversity–innovation paradox in science and explain why it arises. We provide a system-level account of science using a near-complete population of US doctorate recipients (∼1.2 million) where we identify scientific innovations (14–19) and analyze the rates at which different demographic groups relate scientific concepts in novel ways, the extent to which those novel conceptual relations get taken up by other scholars, how "distal" those linkages are (14), and the subsequent returns they have to scientific careers. Our analyses use observations spanning three decades, all scientific disciplines, and all US doctorate-awarding institutions. Through them we are able 1) to compare minority scholars' rates of scientific novelty vis-à-vis majority scholars and then ascertain whether and why their novel conceptualizations 2) are taken up by others and, in turn, 3) facilitate a successful research career.

Innovation as Novelty and Impactful Novelty in Text

Our dataset stems from ProQuest dissertations (20), which includes records of nearly all US PhD theses and their metadata from 1977 to 2015: student names, advisors, institutions, thesis titles, abstracts, disciplines, etc. These structural and semantic footprints enable us to consider students' rates of innovation at the very onset of their scholarly careers and their academic trajectory afterward, i.e., their earliest conceptual innovations and how they correspond to successful academic careers (21). We link these data with several data sources to arrive at a near-complete ecology of US PhD students and their career trajectories. Specifically, we link ProQuest dissertations to the US Census data (2000 and 2010) and Social Security Administration data (1900 to 2016) to infer demographic information on students' gender and race (i.e., name signals for white, Asian, or underrepresented minority [Hispanic, African American, or Native American]; see *Materials and Methods* and *SI Appendix*); we link ProQuest dissertations to Web of Science, a large-scale publication database with ∼38 million academic publications (1900 to 2017), to find out which students have continued research careers, and we weigh our inferential analyses by population records of the number of PhD recipients for each distinct university–year combination to render results generalizable to the population (*SI Appendix*).

Significance

By analyzing data from nearly all US PhD recipients and their dissertations across three decades, this paper finds demographically underrepresented students innovate at higher rates than majority students, but their novel contributions are discounted and less likely to earn them academic positions. The discounting of minorities' innovations may partly explain their underrepresentation in influential positions of academia.

Author contributions: B. Hofstra, V.V.K., and D.A.M. designed research; B. Hofstra, V.V.K., S.M.-N.G., B. He, D.J., and D.A.M. performed research; B. Hofstra, V.V.K., S.M.-N.G., B. He, D.J., and D.A.M. contributed new reagents/analytic tools; B. Hofstra, V.V.K., S.M.-N.G., B. He, D.J., and D.A.M. analyzed data; and B. Hofstra and D.A.M. wrote the paper.

The authors declare no competing interest.

This article is a PNAS Direct Submission.

See online for related content such as Commentaries.

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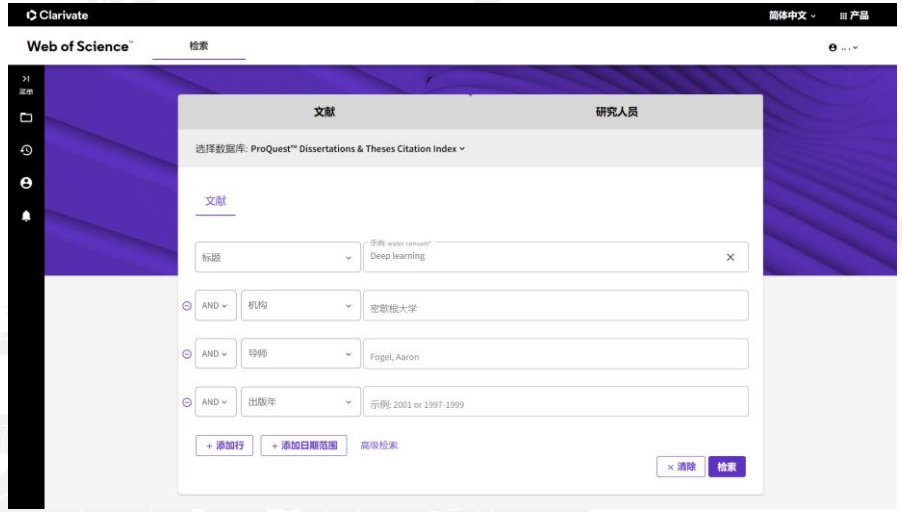
This article contains supporting information online at https://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1915378117/-/DCSupplemental.

First published April 14, 2020.

PQDT Citation Index 资源概览

ProQuest™ Dissertations & Theses Citation Index 画像

- **全称**：ProQuest™ Dissertations & Theses Citation Index
- **简称**：PQDT Citation Index（PQDT博硕士论文引文索引）
- **平台**：Web of Science平台，独立于Web of Science核心合集的子数据库
- **类型**：文摘索引数据库
- **字段**：支持对17个不同的字段进行检索，包括机构、导师、学科等
- **主题**：覆盖400多个主题，涉及自然科学、社会科学、艺术人文领域
- **特色**：与PQDT Global无缝衔接，轻松获取全文



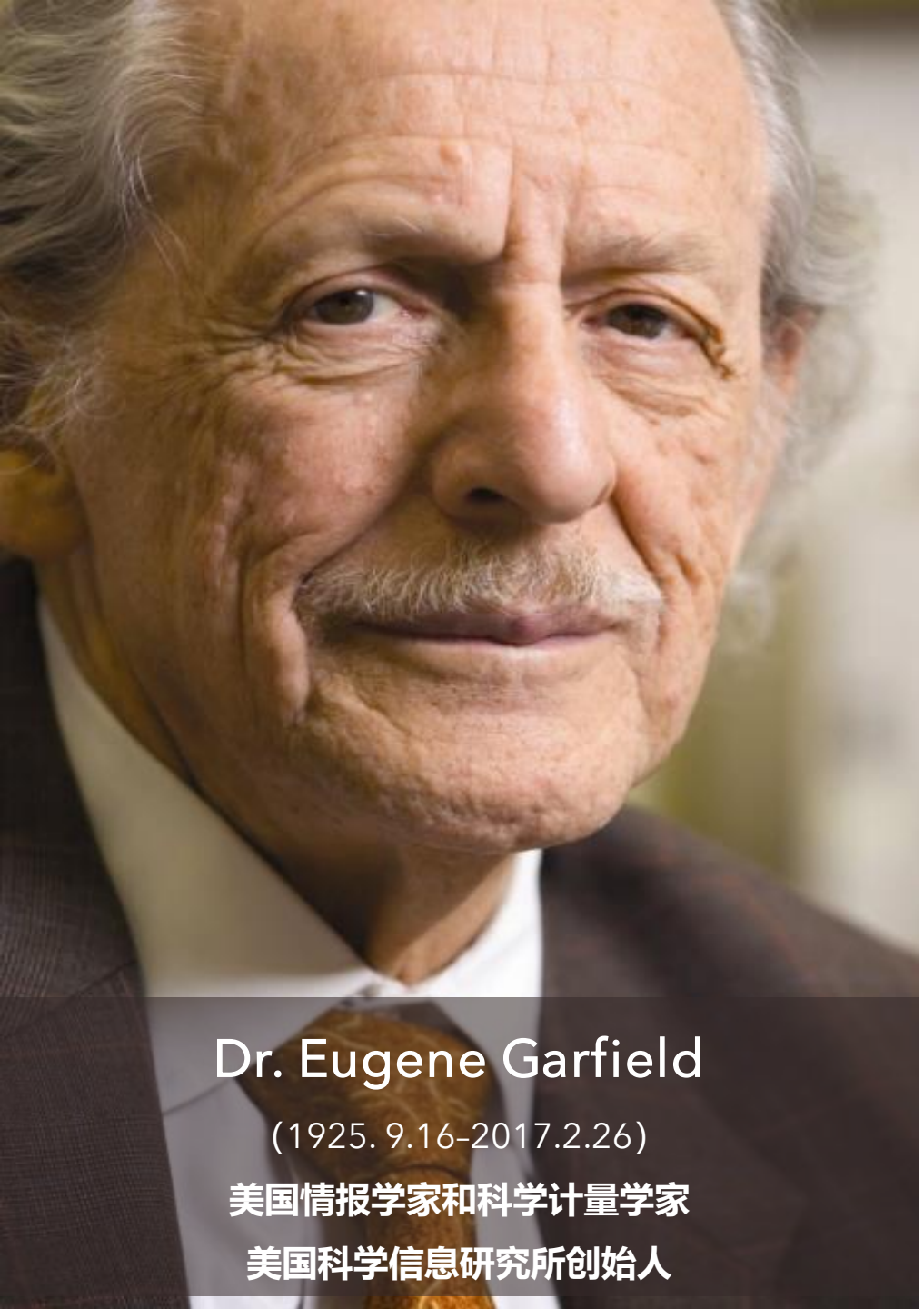
60+
国家/地区

4,100+
科研机构/高校

60+
语言

5,600,000+
记录

Citation Index?

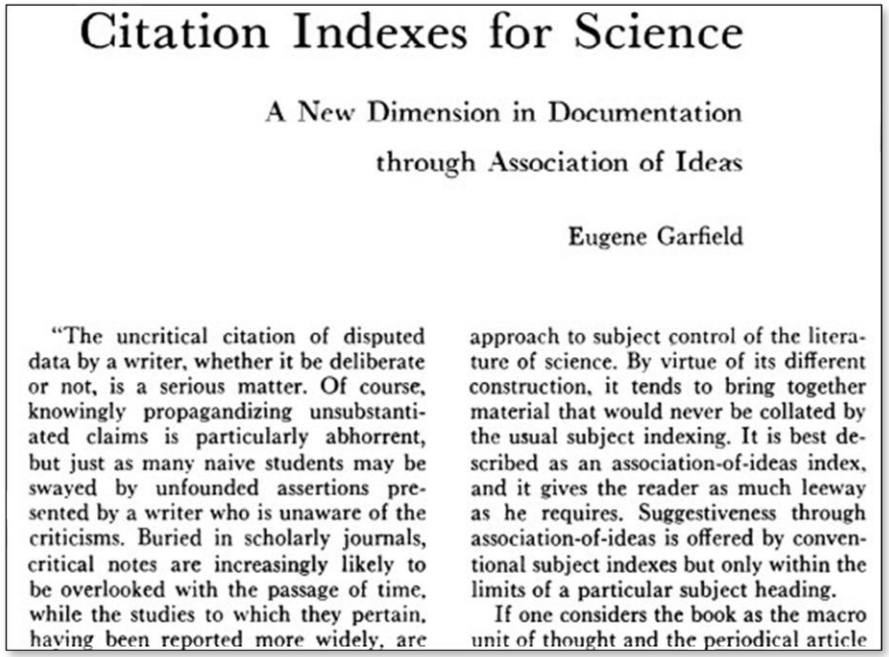


Dr. Eugene Garfield

(1925. 9.16-2017.2.26)

美国情报学家和科学计量学家

美国科学信息研究所创始人



Citation Indexes for Science

A New Dimension in Documentation through Association of Ideas

Eugene Garfield

"The uncritical citation of disputed data by a writer, whether it be deliberate or not, is a serious matter. Of course, knowingly propagandizing unsubstantiated claims is particularly abhorrent, but just as many naive students may be swayed by unfounded assertions presented by a writer who is unaware of the criticisms. Buried in scholarly journals, critical notes are increasingly likely to be overlooked with the passage of time, while the studies to which they pertain, having been reported more widely, are

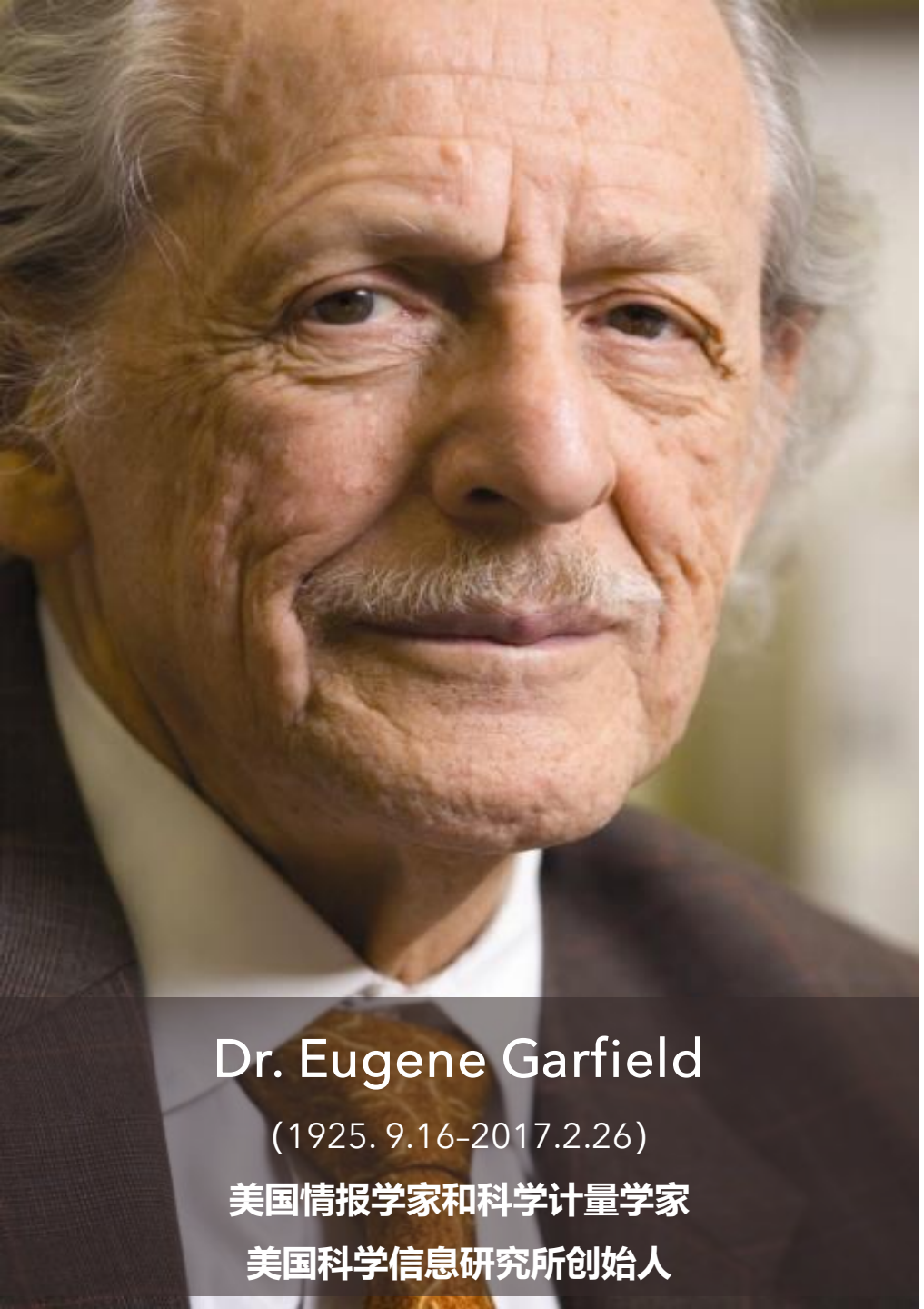
approach to subject control of the literature of science. By virtue of its different construction, it tends to bring together material that would never be collated by the usual subject indexing. It is best described as an association-of-ideas index, and it gives the reader as much leeway as he requires. Suggestiveness through association-of-ideas is offered by conventional subject indexes but only within the limits of a particular subject heading.

If one considers the book as the macro unit of thought and the periodical article

Unique Data

独特

- Dr. Garfield 1955年在 *Science* 发表论文提出将引文索引作为一种新的文献检索与分类工具：将**一篇文献**作为检索字段从而跟踪一个Idea的发展过程及学科之间的交叉渗透的关系。



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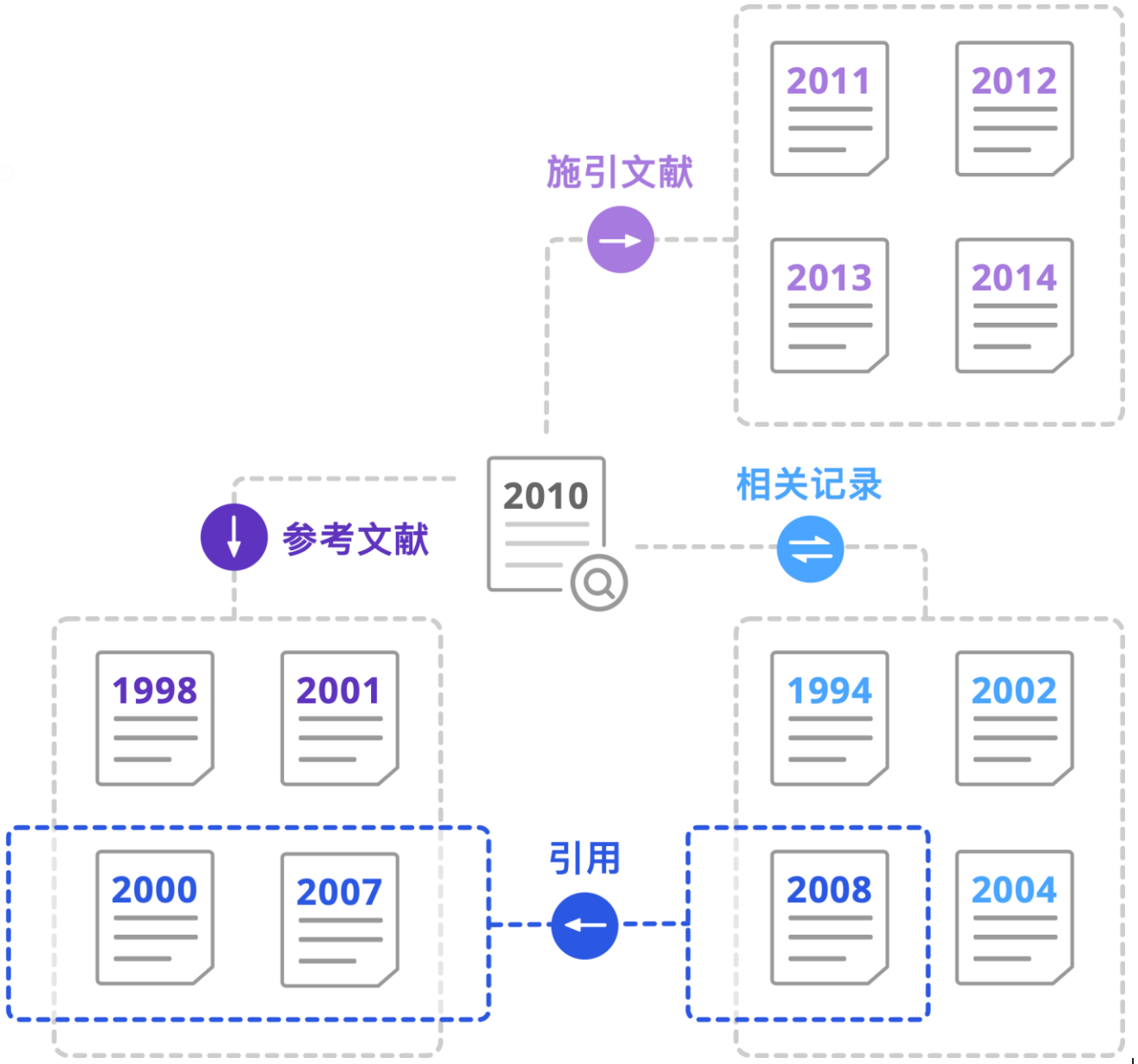


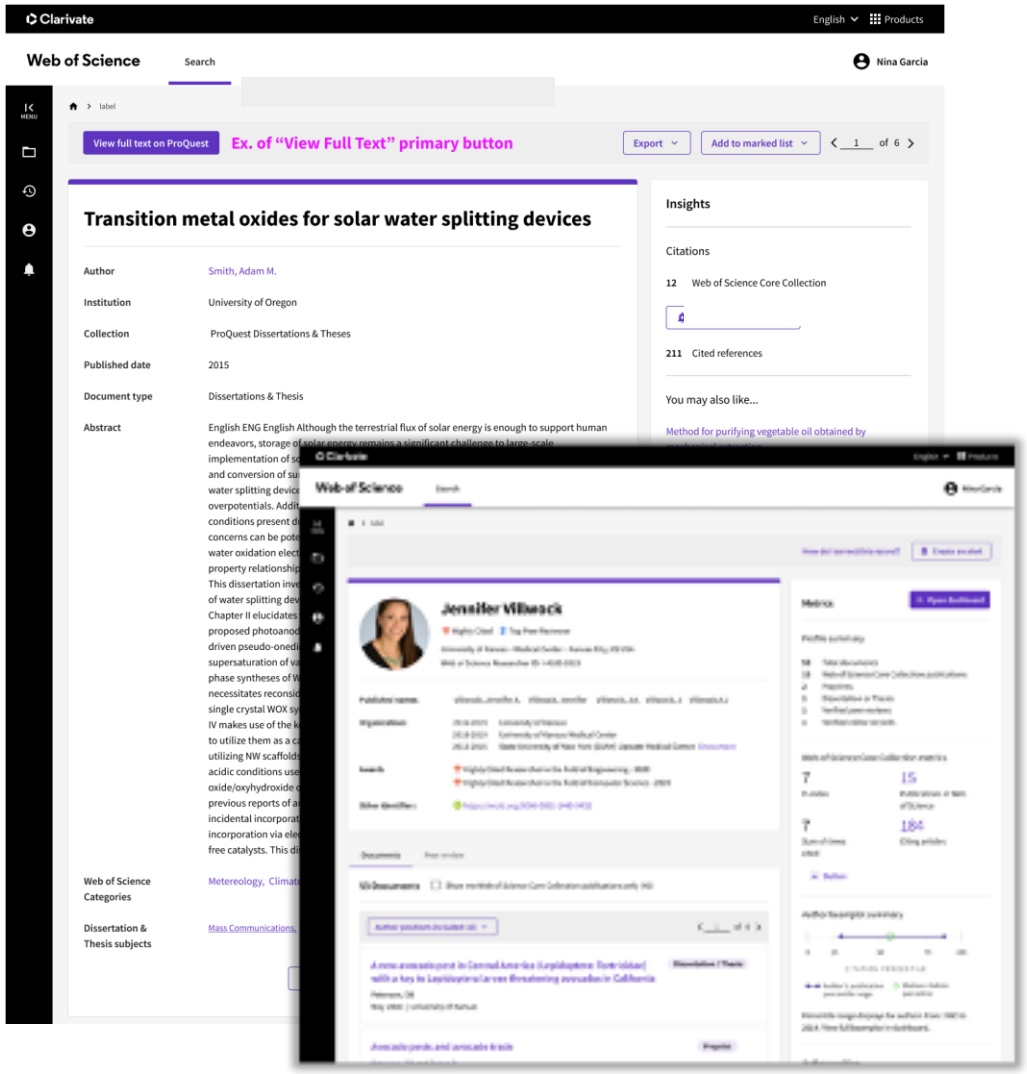
一站式检索体验？

最大限度地利用有限的研究时间

- 通过单一平台进行全面的研究内容和观点梳理，从学位论文到专利和书籍，了解课题领域是如何演变的

ProQuest Dissertations & Theses + Clarivate Web of Science™

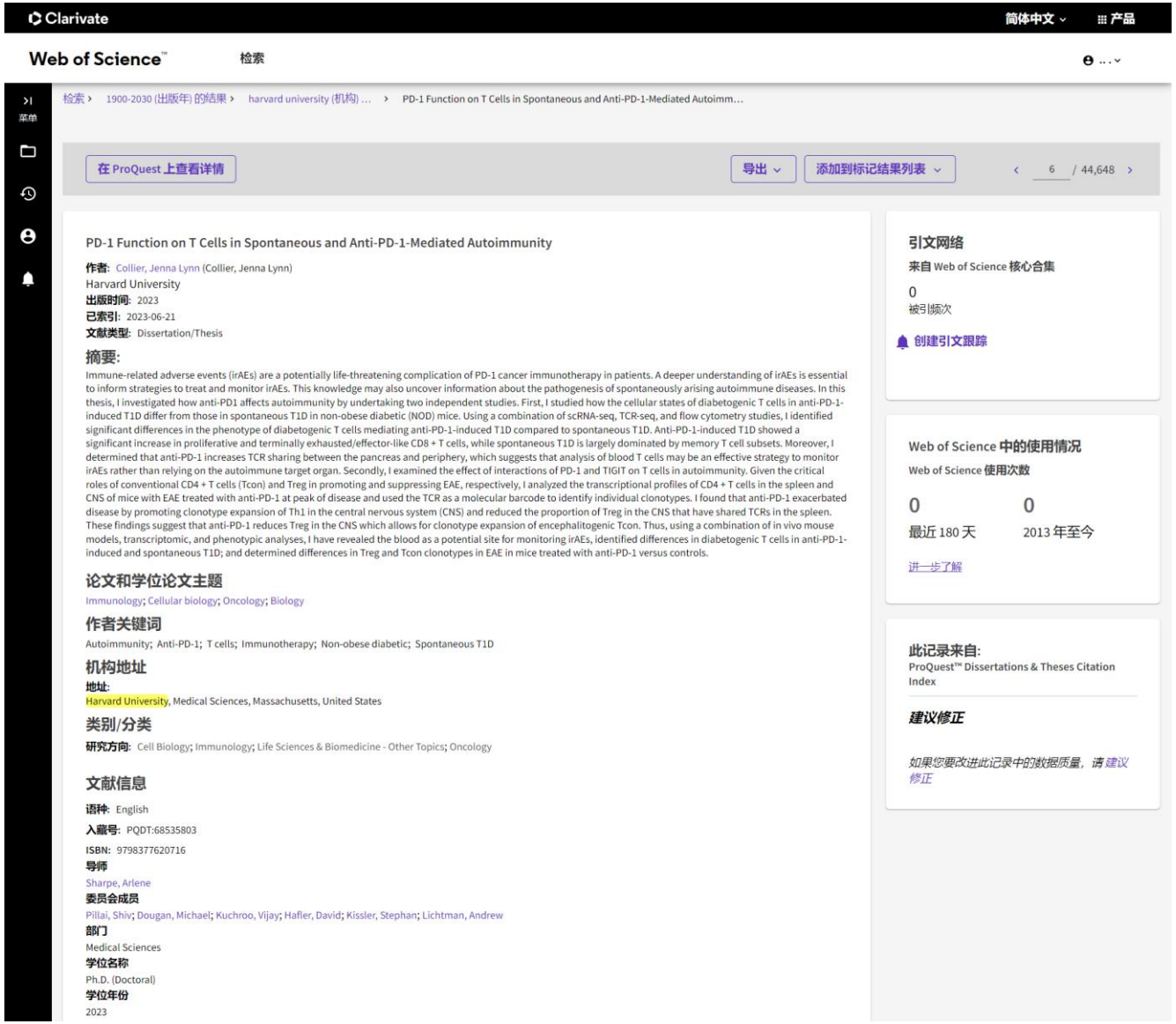
PQDT Global + Web of Science：一站式、综合性、多学科检索体验



- 识别新兴研究领域以及参与该领域的研究生项目
- 发掘未在同行评议文献中发表的创新性研究方法
- 囊括未发表的学术成果和更多元的研究视角，实现更加全面、详实的文献综述
- 将早期研究、新兴研究以及成熟研究关联起来，摸清某一课题或学科的演进过程

个性化检索字段?

PQDT Citation Index 检索字段



主题	国家/地区
标题	入藏号
作者	导师
出版年	委员会成员
摘要	学位论文主题
语种	DOI
学位类型	ISBN
机构	地址
部门	……

PQDT Citation Index 的应用与展望

案例：深度学习 Deep Learning

深度学习是学习样本数据的内在规律和表示层次，这些学习过程中获得的信息对诸如文字、图像和声音等数据的解释有很大的帮助。它的最终目标是让机器能够像人一样具有分析学习能力，能够识别文字、图像和声音等数据。 深度学习是一个复杂的机器学习算法，在语音和图像识别方面取得的效果，远远超过先前相关技术。

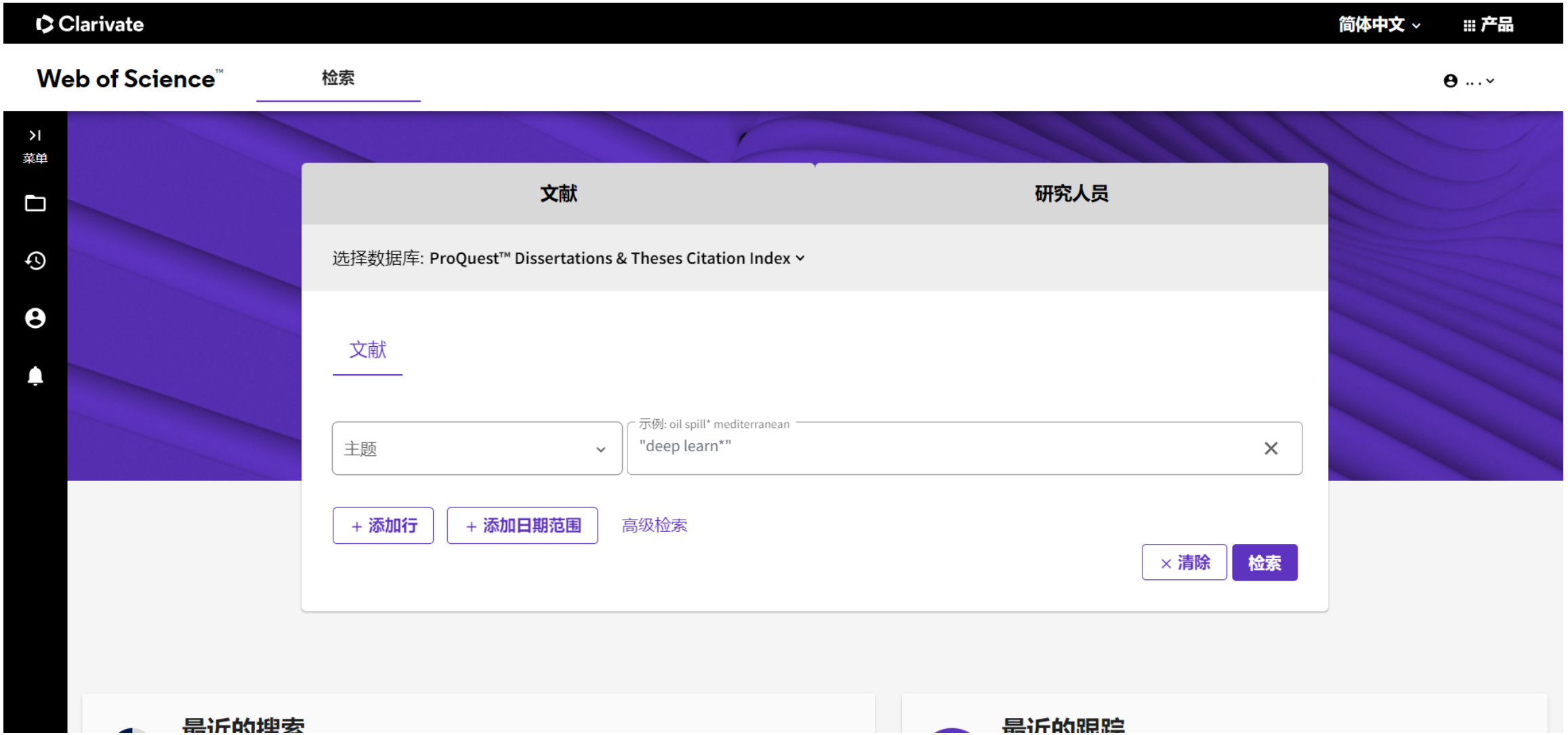
数据库：PQDT Citation Index

检索式：“deep learn*”

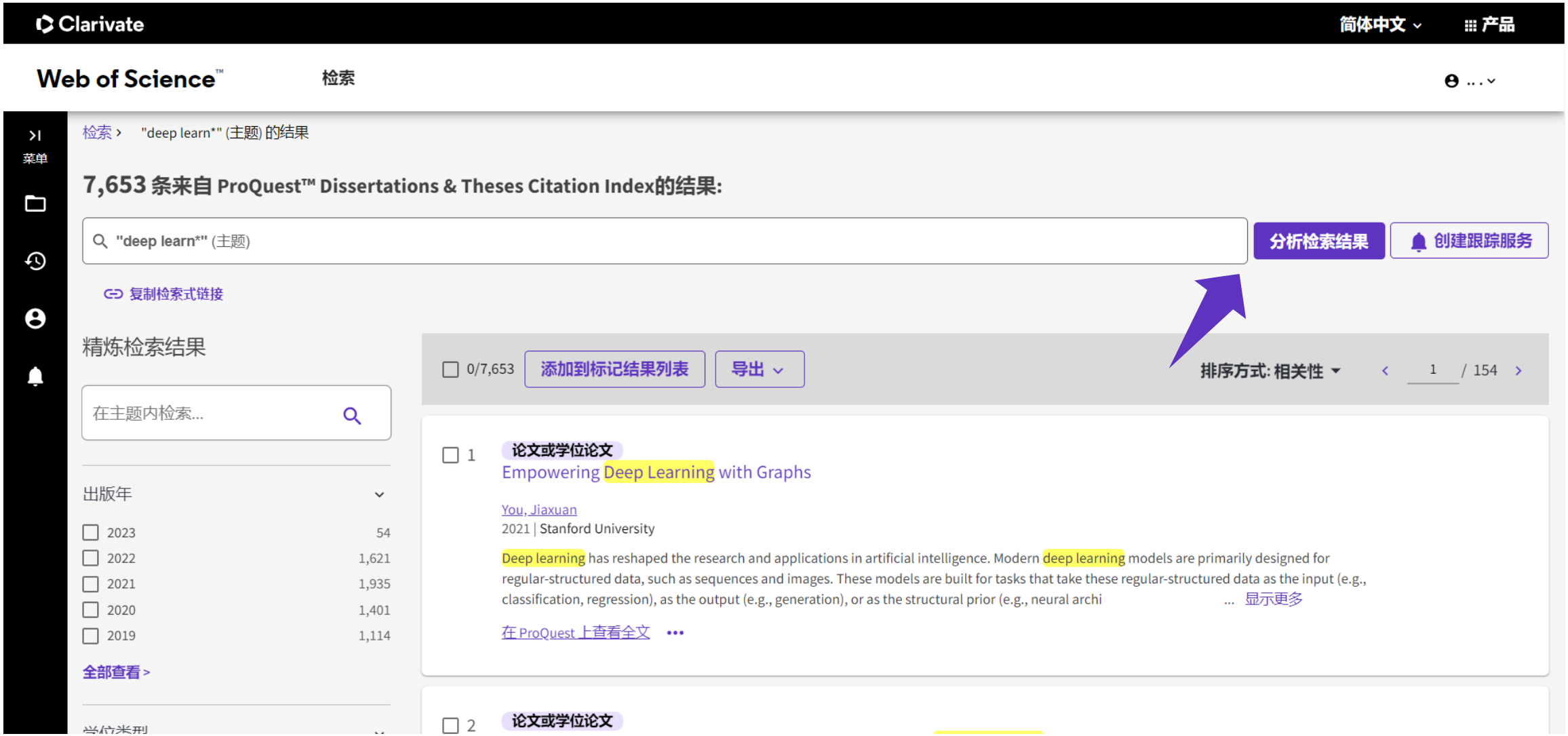
检索字段：主题（Topic）

时间范围：所有年份

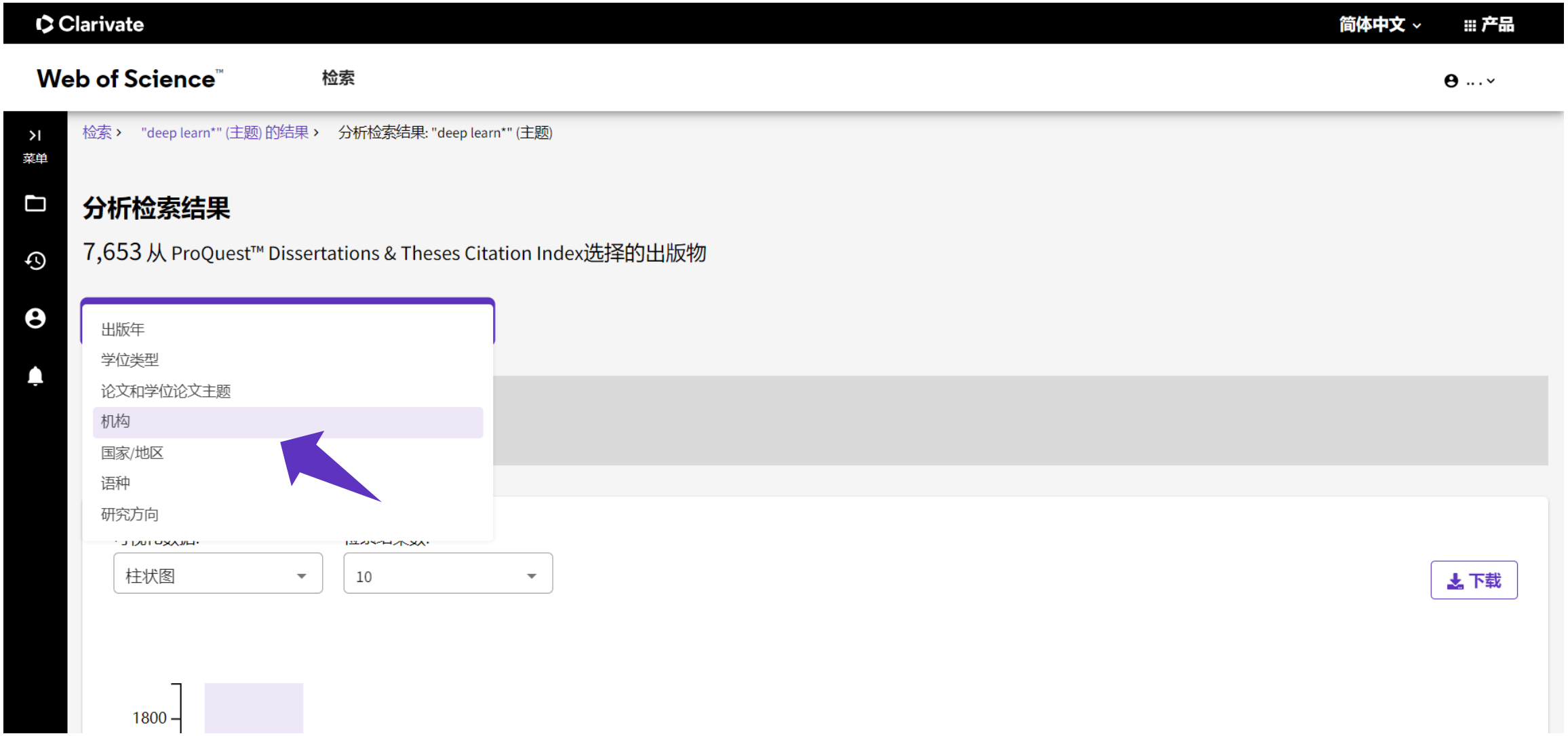
借助 PQDT Citation Index检索“深度学习 Deep Learning”领域学位论文



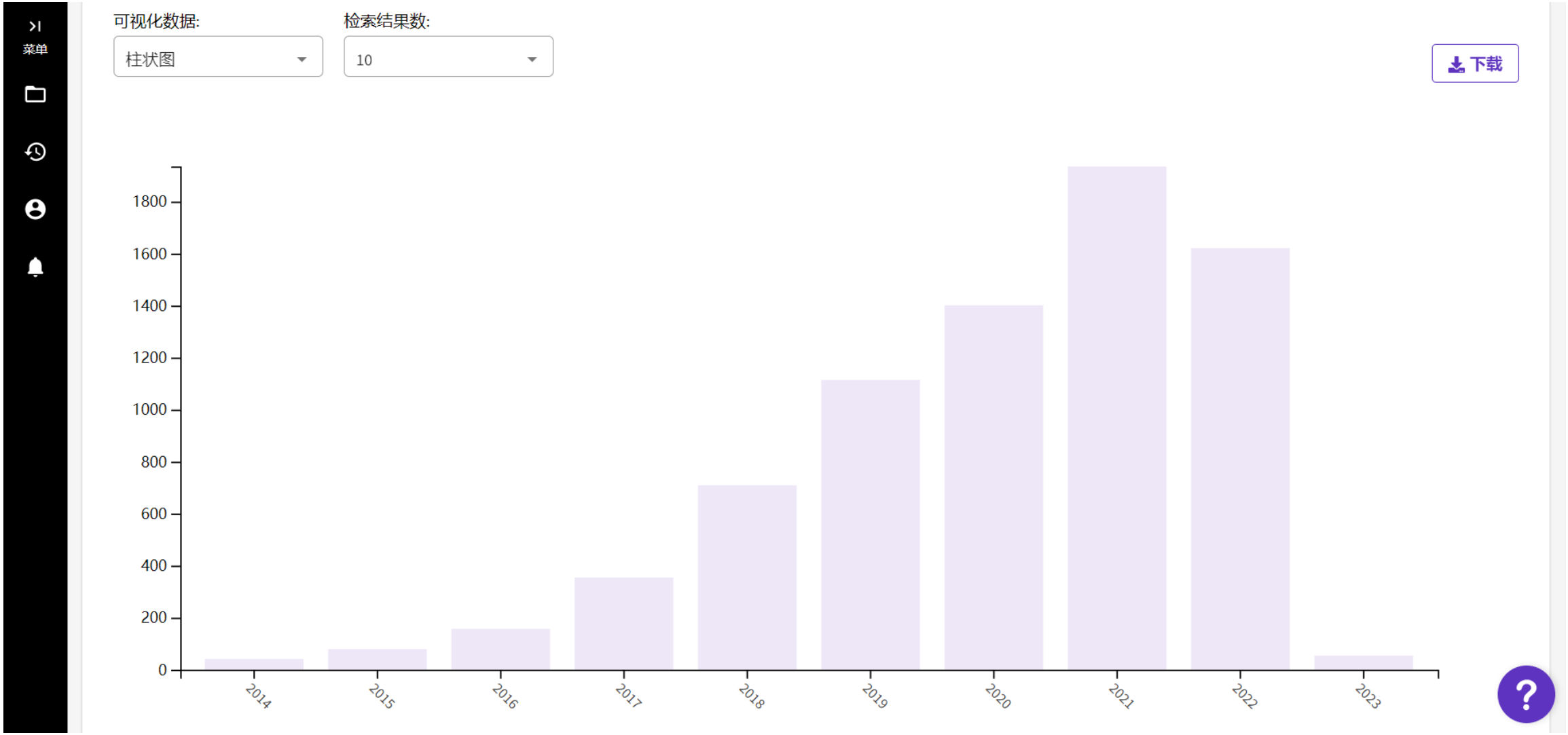
全方位审视当前研究成果--分析检索结果



全方位审视当前研究成果--分析检索结果



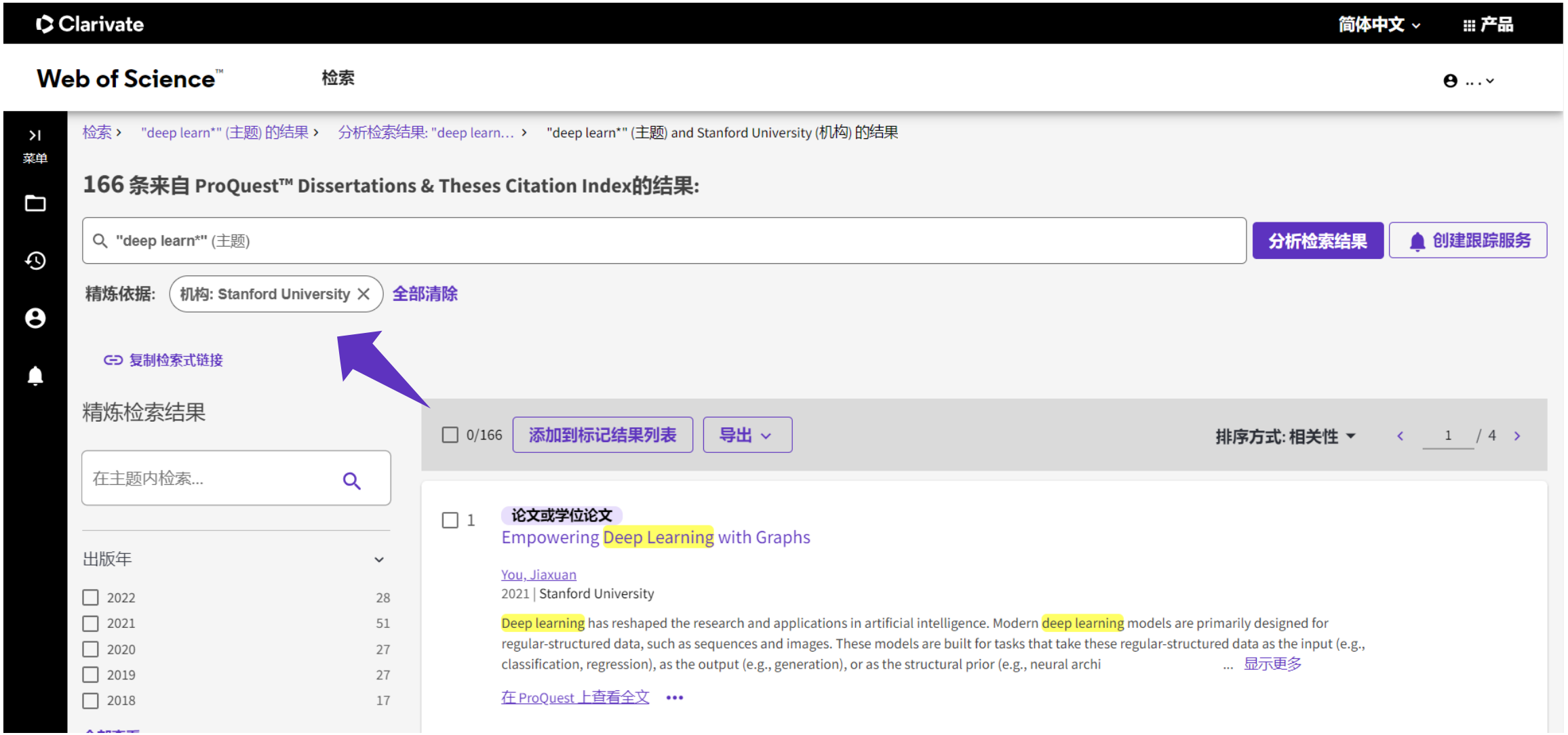
全方位审视当前研究成果--分析检索结果--出版年



全方位审视当前研究成果--分析检索结果--机构

斯坦福大学有哪些深度学习领域学位论文?

斯坦福大学涉及“深度学习”的毕业论文



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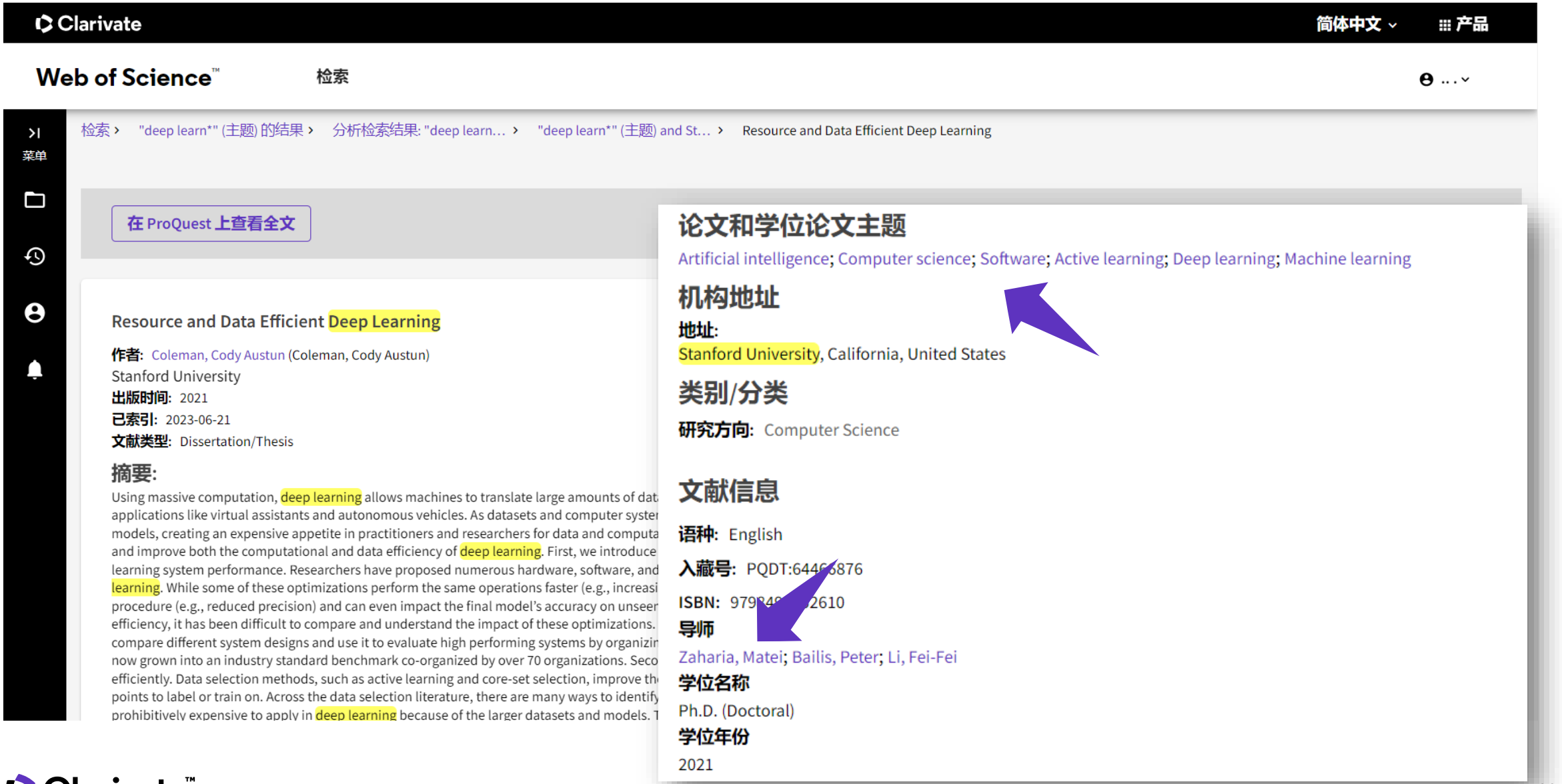

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Zaharia, Matei (导师)

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在主题内检索...

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2022	4
2021	4
2020	5
2019	4
2018	1

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Matei Zaharia 马泰扎哈里亚教授

Associate Professor, Computer Science
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I'm an associate professor at UC Berkeley (previously Stanford), where I work on computer systems and machine learning. I'm also co-founder and CTO of Databricks.

Interests: I'm interested in computer systems for large-scale workloads such as AI, data analytics and cloud computing. In 2016, I co-started the Stanford DAWN lab to work on infrastructure for usable machine learning. My recent projects include programming models for LLM applications, efficient runtimes for ML and analytics, quality assurance tools and AI-based data analytics systems. I am also interested in data privacy, and have worked on systems that can provide scalable privacy for communication, Internet queries and SaaS applications.

Interests

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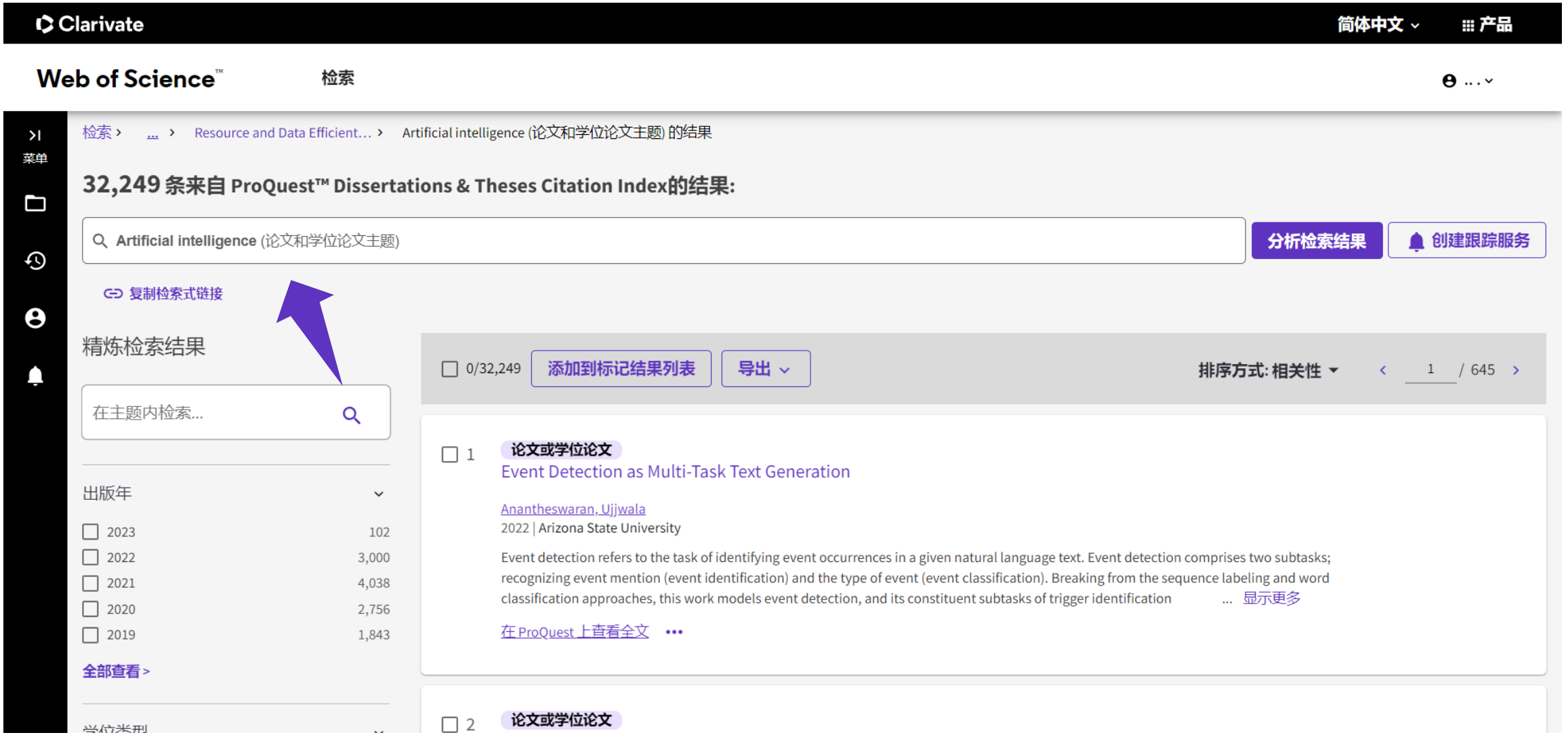

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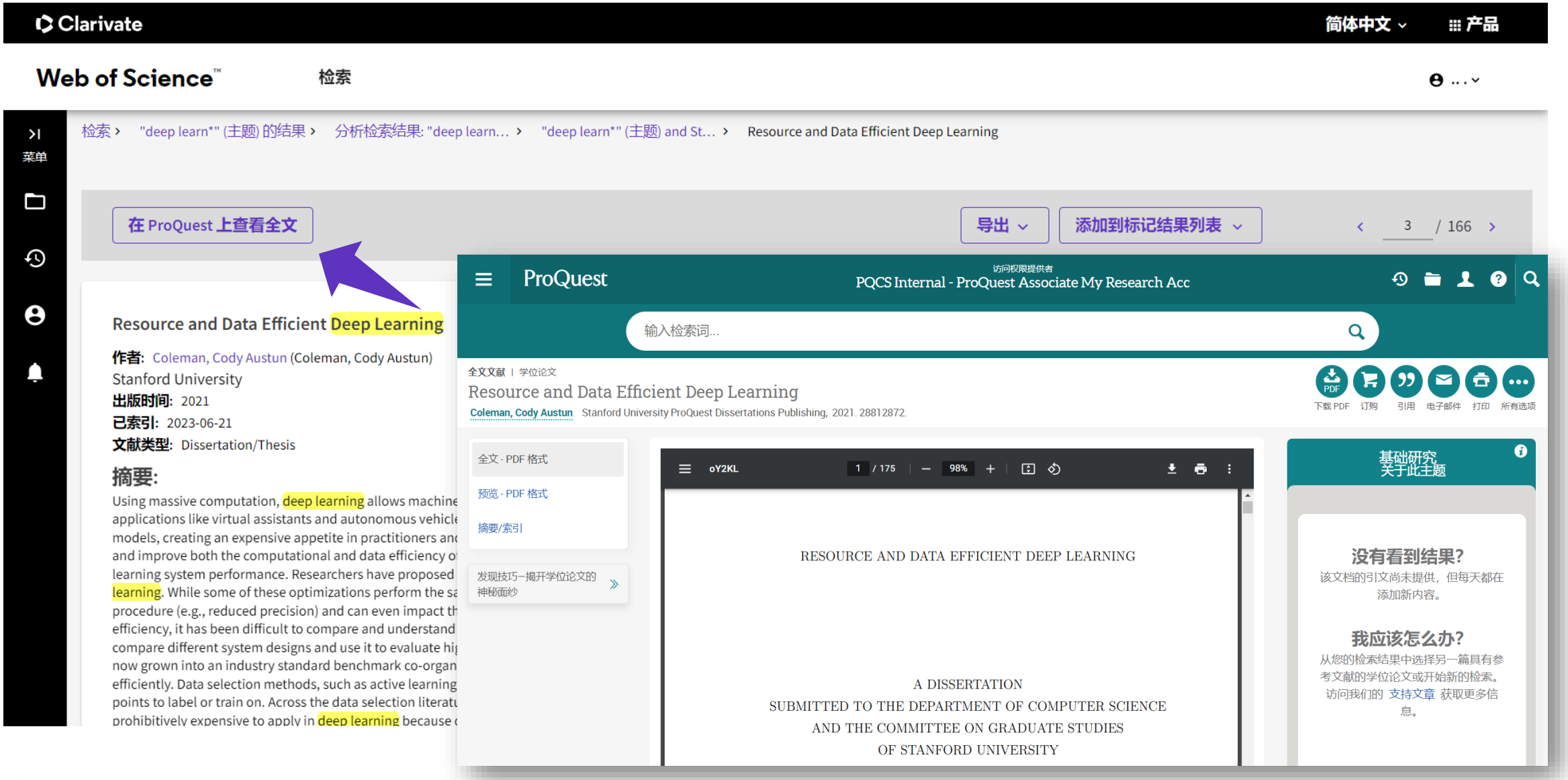

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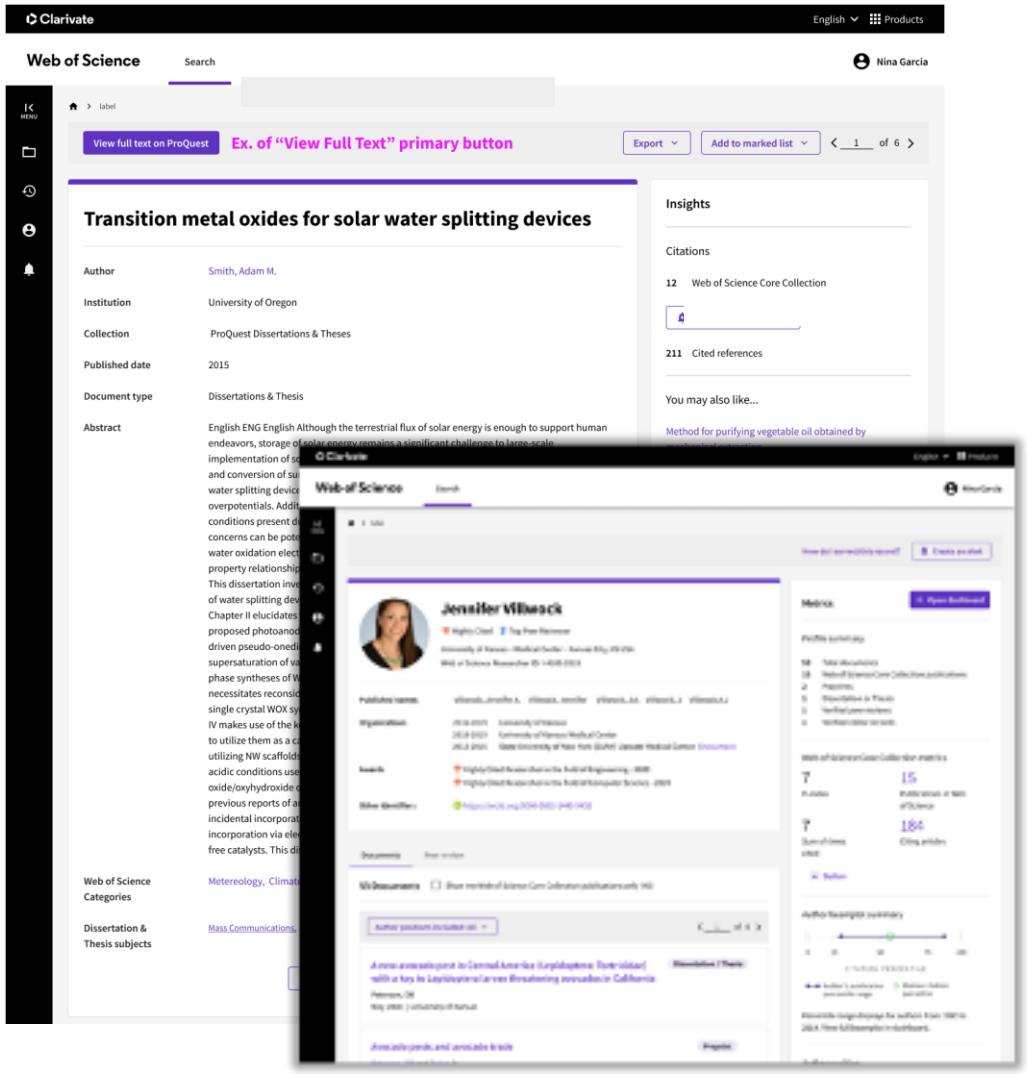

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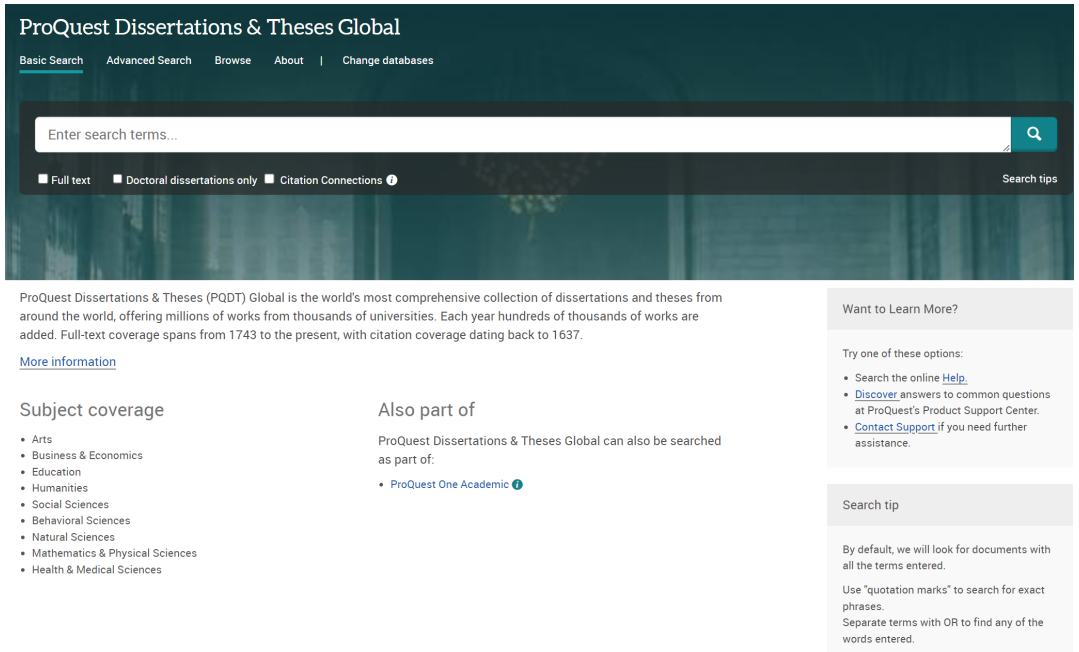

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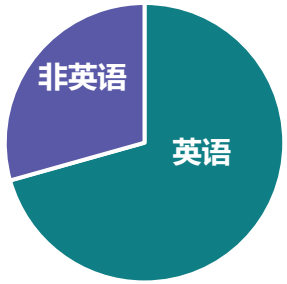

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Protecting Communities, Protecting Livelihoods: Integrating Flood Risk Management and Economic Development in the North West of England, United Kingdom

UoM administered thesis: Phd

Authors: Evelyn Prosser

编辑错误

Abstract

Flooding is a natural phenomenon which has been defined simply by Arnell (2002, p. 112) as an excess of water in a place that is normally dryÃ. This excess of water beyond its usual confinement causes problematic consequences to the ordinary functioning activity of society. Although water is an essential resource which sustains life, enables trade for goods and services, is functional, workable and an aesthetically pleasing asset, its presence in excess and subsequent management can threaten economic development. The flood event itself and indeed the policy approaches taken to manage the probability and consequences of flood events can come into conflict with economic development policy. Flood risk management policy has developed a reputation for creating a barrier to economic development, rather than being a tool to facilitator. Despite policy integration rising as an academic concept and a practical policy aspiration; there has been limited research which has examined the interface of flood risk management and economic development in correlation to changes in governance. This thesis explores the challenges that arise at the interface between flood risk management policy and economic development policy using a case study of the Mersey Basin with a specific focus on the city region of Greater Manchester. The research builds an understanding of this interface and the perceptions of actors across multiple scales of governance. The main challenges for policy integration include conflicts which arise between the political and economic interests of actors; the ability of actors to rebalance from the dominance of economic development policy and to articulate the drivers for policy integration; the capability and power of actors to be able to influence strategies for the long term and; the limited availability of people and financial resource to facilitate policy integration. Despite challenges being far reaching across scales of governance, the opportunities for policy integration were seen to exist especially in relation to policy integration being a facilitator to the release of funding and having a role in engaging and fulfilling goals within a wider policy discourse.

Documents

Protecting Communities, Protecting Livelihoods: Integrating Flood Risk Management and Economic Development in the North West of England, United Kingdom
PDF document

6/01/21

Related Faculties/Schools

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Research areas

policy integration, governance, flood risk management

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Prosser, Evelyn Alexis.The University of Manchester (United Kingdom), ProQuest Dissertations Publishing, 2018. 28432082.

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Abstract

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Flooding is a natural phenomenon which has been defined simply by Arnell (2002, p. 112) as "an excess of water in a place that is normally dry." This excess of water beyond its usual confinement causes problematic consequences to the ordinary functioning activity of society. Although water is an essential resource which sustains life, enables trade for goods and services, is functional, workable and an aesthetically pleasing asset, its presence in excess and subsequent management can threaten economic development.

PQDT主题

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Business indexing term	Subject: Environmental management; Environmental economics
Subject	Floods; Flood control; Climate change; Cultural heritage; Environmental management; Environmental economics; Public administration; Public policy
Classification	0630: Public policy 0404: Climate Change 0438: Environmental economics 0617: Public administration 0474: Environmental management
Identifier / keyword	Flooding; Flood control; Environmental
Title	Protecting Communities, Protecting Li... North West of England, United Kingdo...
Author	Prosser, Evelyn Alexis
Number of pages	379
Publication year	2018
Degree date	2018
Advisor	Haughton, Graham
University/institution	The University of Manchester (United Kingdom)
University location	England
Degree	Ph.D.
Source type	Dissertations & Theses
Language	English

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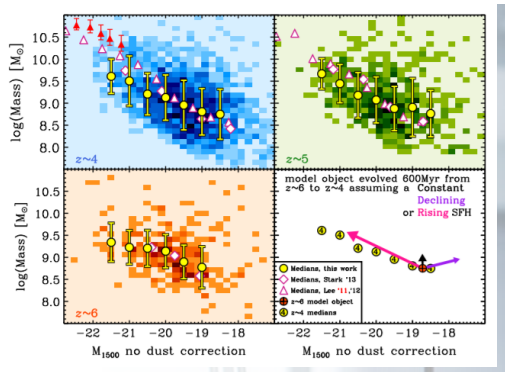
3

科学方面的学位论文

- **学位论文提供了丰富的数据，包含了实验结果、实地调研考察、统计等数据集、数字与图表等，也包含了在期刊文章或其他地方难以找到的负面结果（negative results）**



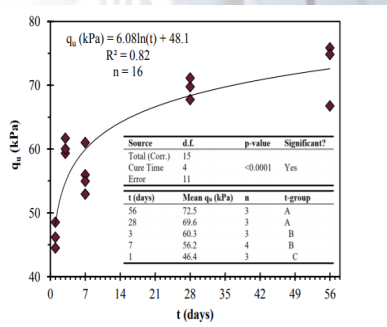


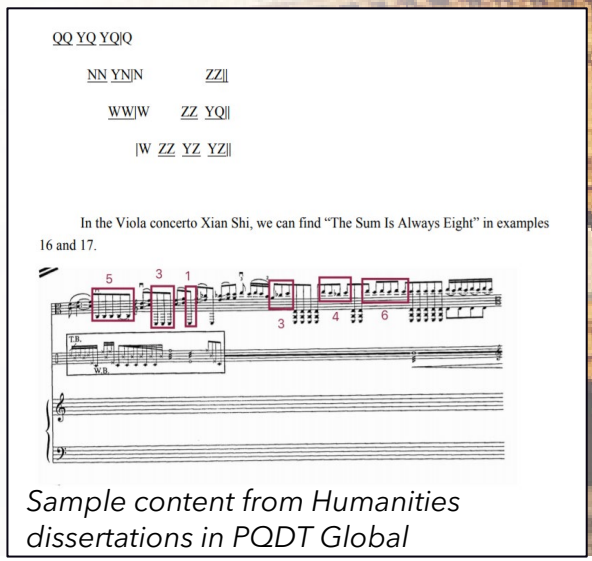
Figure 4.2 UC Strength vs. Cure Time (5% PLC at 135% Moisture)

Sample data from dissertations in PQDT Global

人文社科方面学位论文

- 人文领域学位论文通常是小众主题研究，通过访问著名学者第一手研究（primary research）及原创思想，为人文艺术以及社会科学研究提供关键支持

- 文献综述新维度：涵盖了深度阐述、广泛的参考文献以及可能错过新见解



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邓稼先

两弹一星元勋，

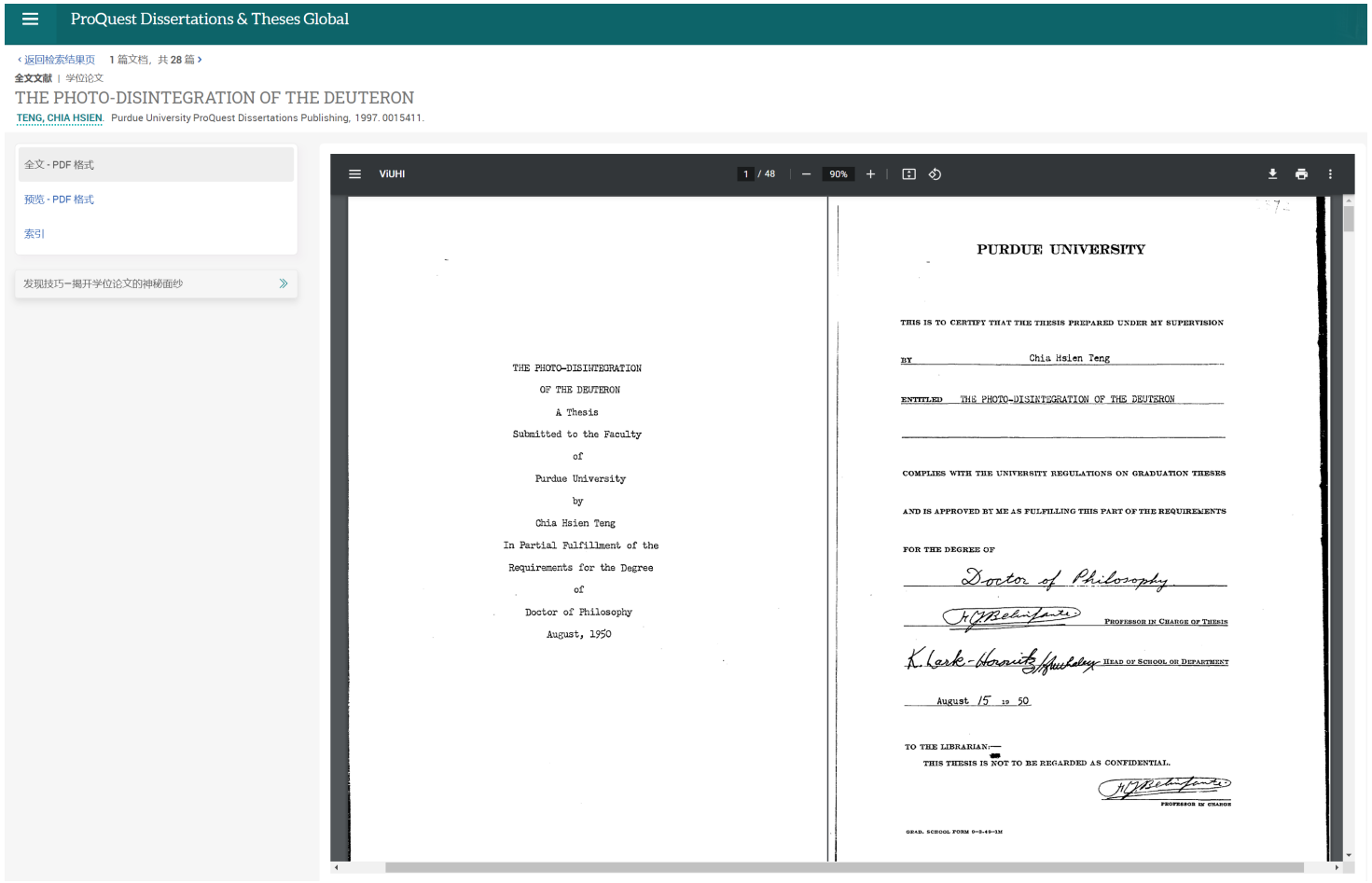
中国核武器研制工作的

开拓者和奠基者。

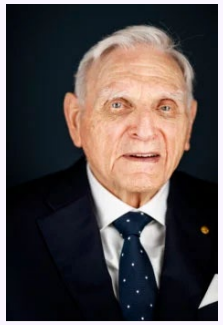
1950年，

在**美国普渡大学**

获**博士学位**



名家博士论文



约翰·B·古迪纳夫

(John B. Goodenough)

2019年诺贝尔化学奖得主

美国固体物理学家

1952年，

在**美国芝加哥大学**

获**博士学位**

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A Theory of the Deviation from Close Packing in Hexagonal Metal Crystals

Goodenough, John B. The University of Chicago ProQuest Dissertations Publishing, 1952. T-01751.

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DATE Sept. 25 19 52

Goodenough, John B. (Author) 25 July 1922 (Birth Date)

A Theory of the Deviation from Close Packing in Hexagonal Metal Crystals (Title of Dissertation)

Physics (Department or School) December, 1952 (Degree) Ph.D. (Convocation)

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A DISSERTATION SUBMITTED TO THE FACULTY OF THE DIVISION OF THE PHYSICAL SCIENCES IN CANDIDACY FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

DEPARTMENT OF PHYSICS

BY

JOHN B. GOODENOUGH

CHICAGO, ILLINOIS

DECEMBER, 1952

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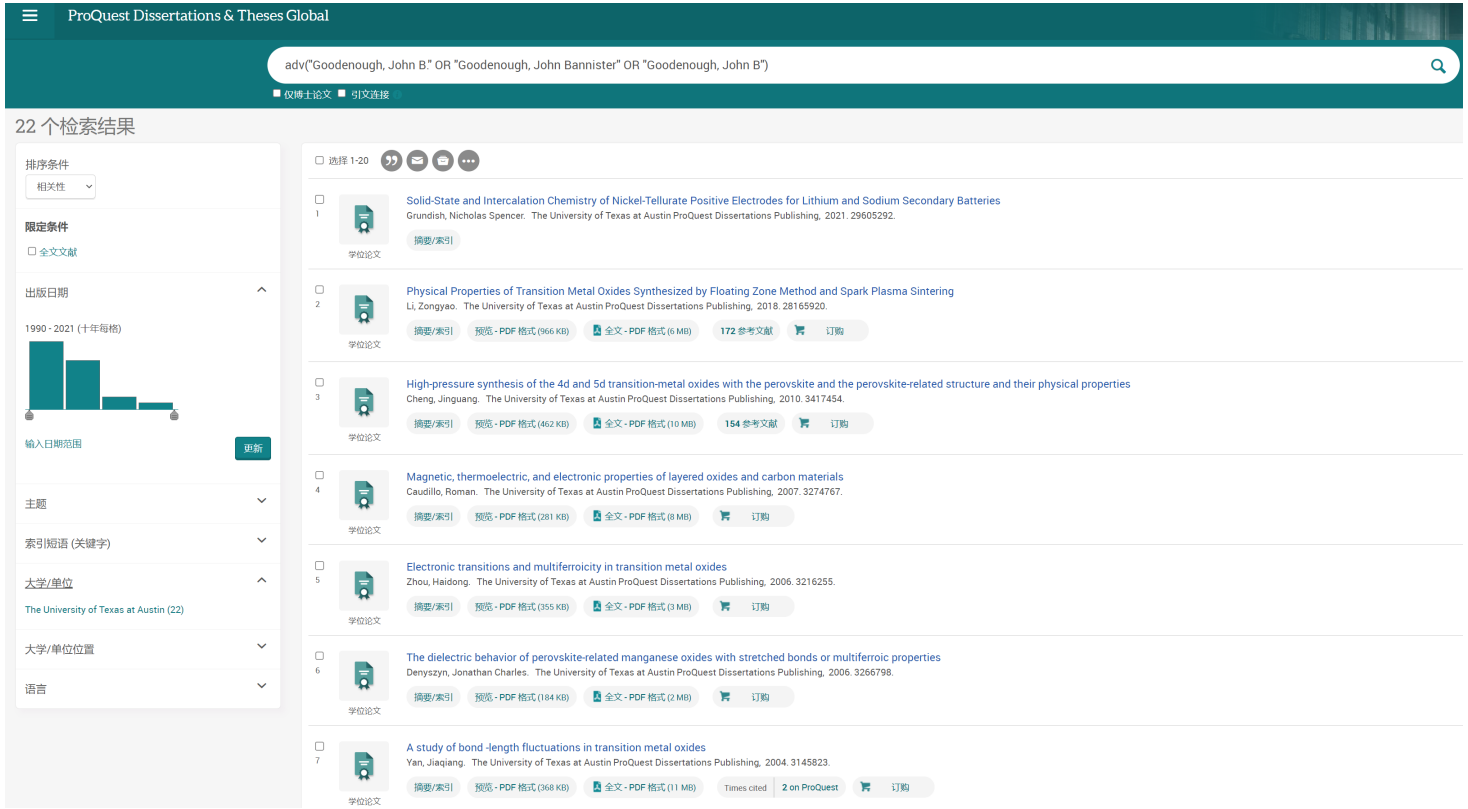
- PQDT Global 数据库共收录了John Goodenough先生在德克萨斯大学奥斯丁分校（The University of Texas at Austin）培养的20多名博士生的学位论文
- 主题包括：凝聚态物理学、材料科学、无机化学、电磁学等



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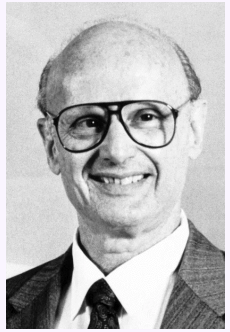


哈里·马科维茨

(Harry Markowitz)

1990年诺贝尔经济学奖得主

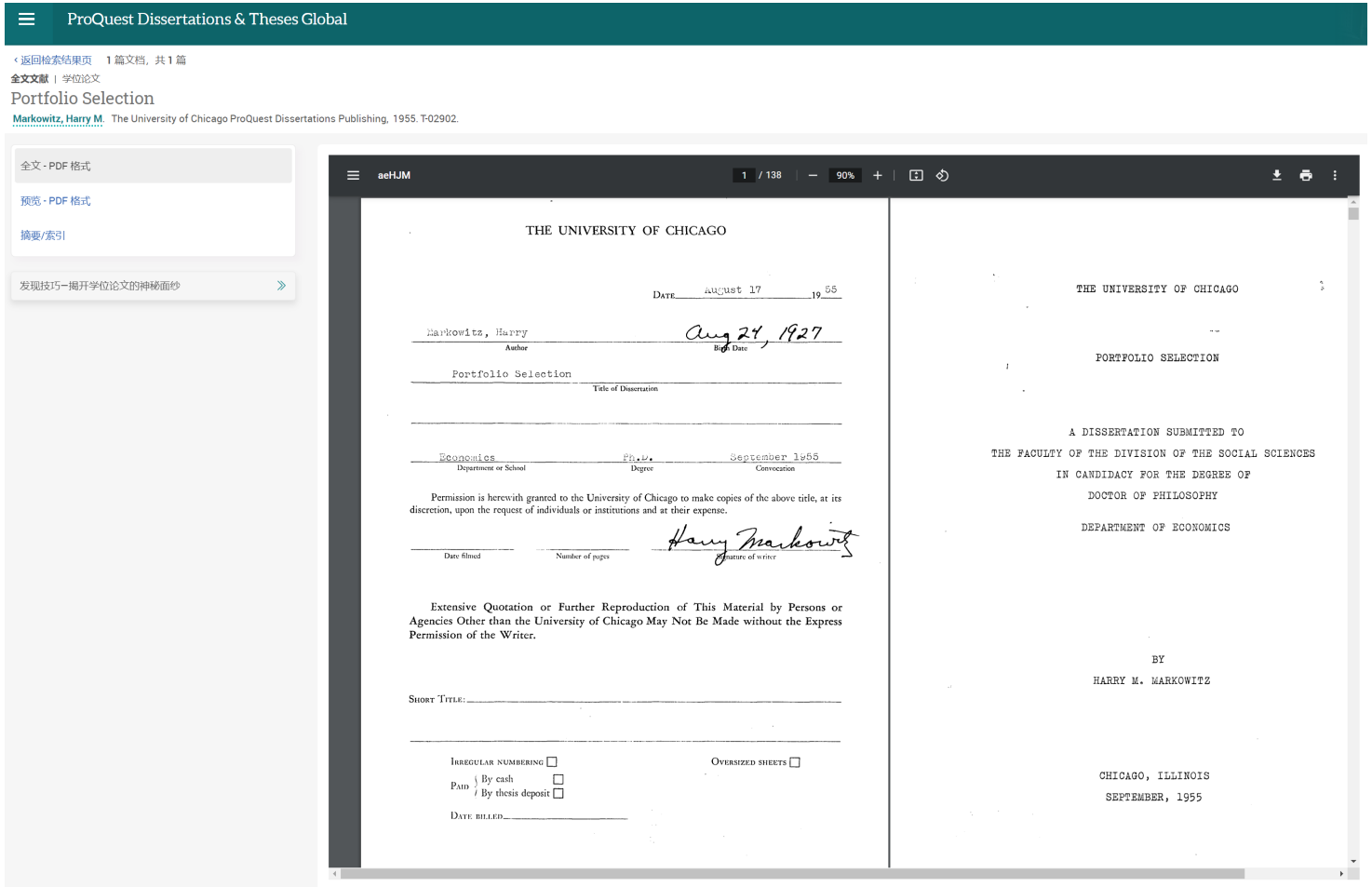
美国著名经济学家

现代投资组合理论发明者

1955年，

在**美国芝加哥大学**

获**博士学位**



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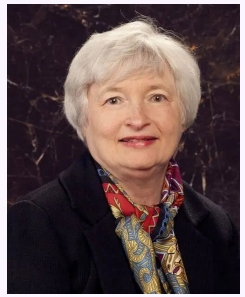


珍妮特·L·耶伦

(Janet L. Yellen)

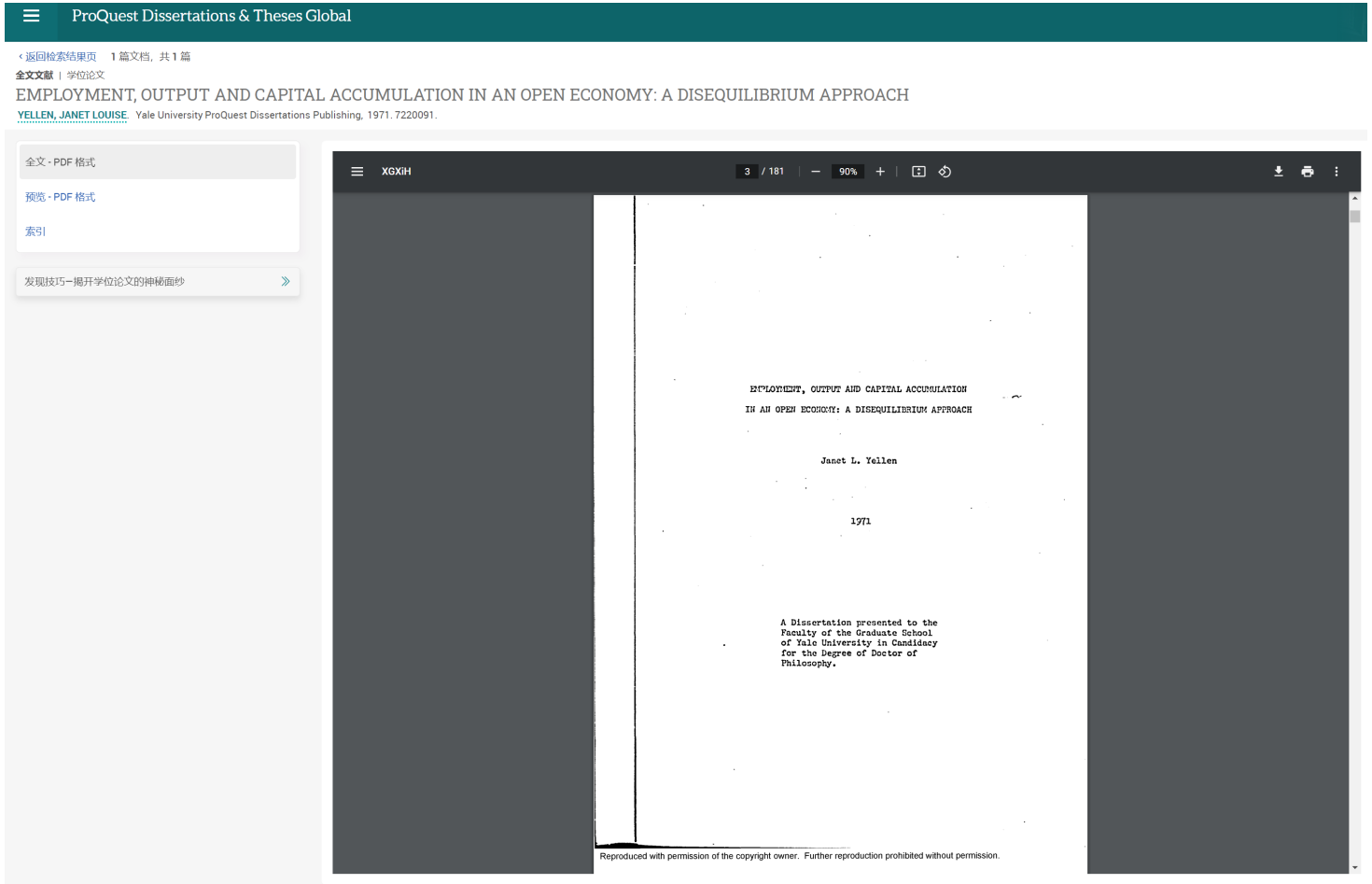
现任美国财政部长，

著名经济学家

1971年，

于**美国耶鲁大学**

获**博士学位**

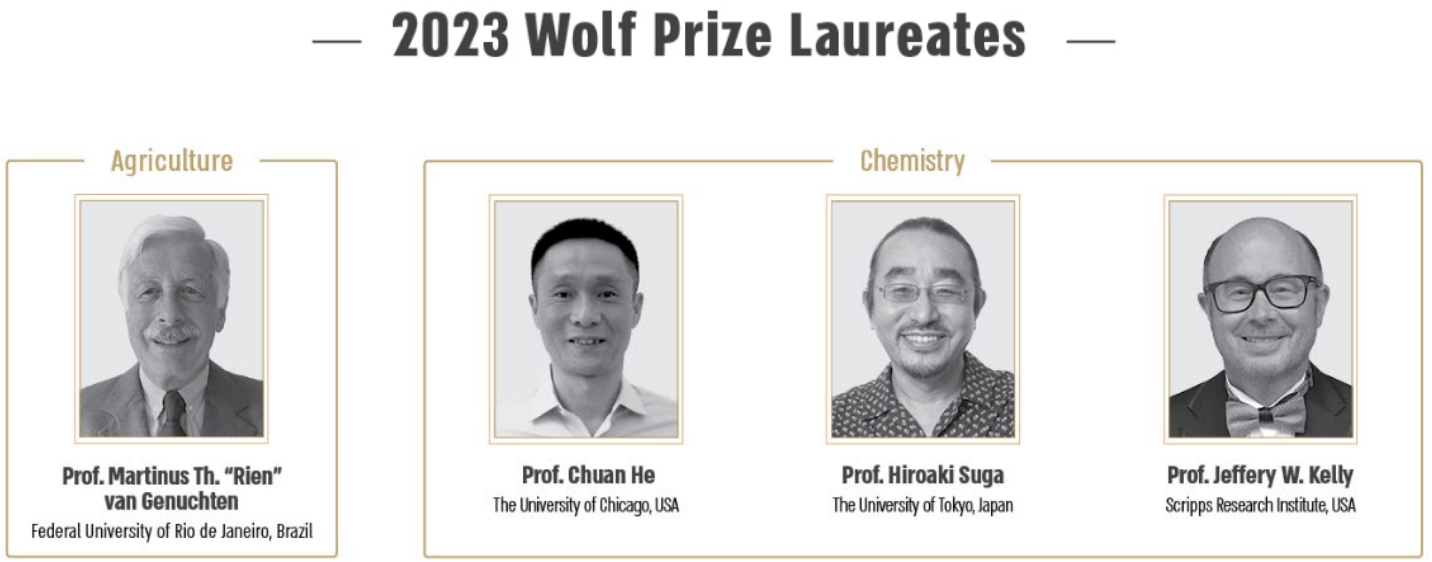


优博推荐

2023 年度沃尔夫奖获得者博士论文

- 8位杰出科学家和艺术家获得2023年度沃尔夫奖（Wolf Prize）。该奖项是由著名工业家、发明家里卡多·沃尔夫（Ricardo Wolf）及其家族成立的沃尔夫基金会于1976年设立的奖项，旨在表彰世界各地的杰出科学家和艺术家在促进人类福祉和改善人类交流方面取得的成就

- 4位沃尔夫奖得主的博士论文收录在ProQuest Dissertations & Theses Global 数据库中



题名：Mass Transfer Studies In Sorbing Porous Media

学位授予单位：New Mexico State University

题名：Synthesis and reactivity of cobalt, zinc, copper and iron dimetallic complexes using bis(carboxylate) and 1,8-naphthyridine-based dinucleating ligands

学位授予单位：Massachusetts Institute of Technology

题名：Catalytic antibodies elicited via homologous and heterologous immunization

学位授予单位：Massachusetts Institute of Technology

题名：The Mechanism, Stereochemistry, And Scope Of Dioxyphosphorane Promoted Cyclodehydrations. Ii. Oxygen-17 Nmr Studies Directed Towards Elucidating The Structure And Dynamics Of Oxygenated Organosulfur And Organosilicon Compounds

学位授予单位：The University of North Carolina at Chapel Hill

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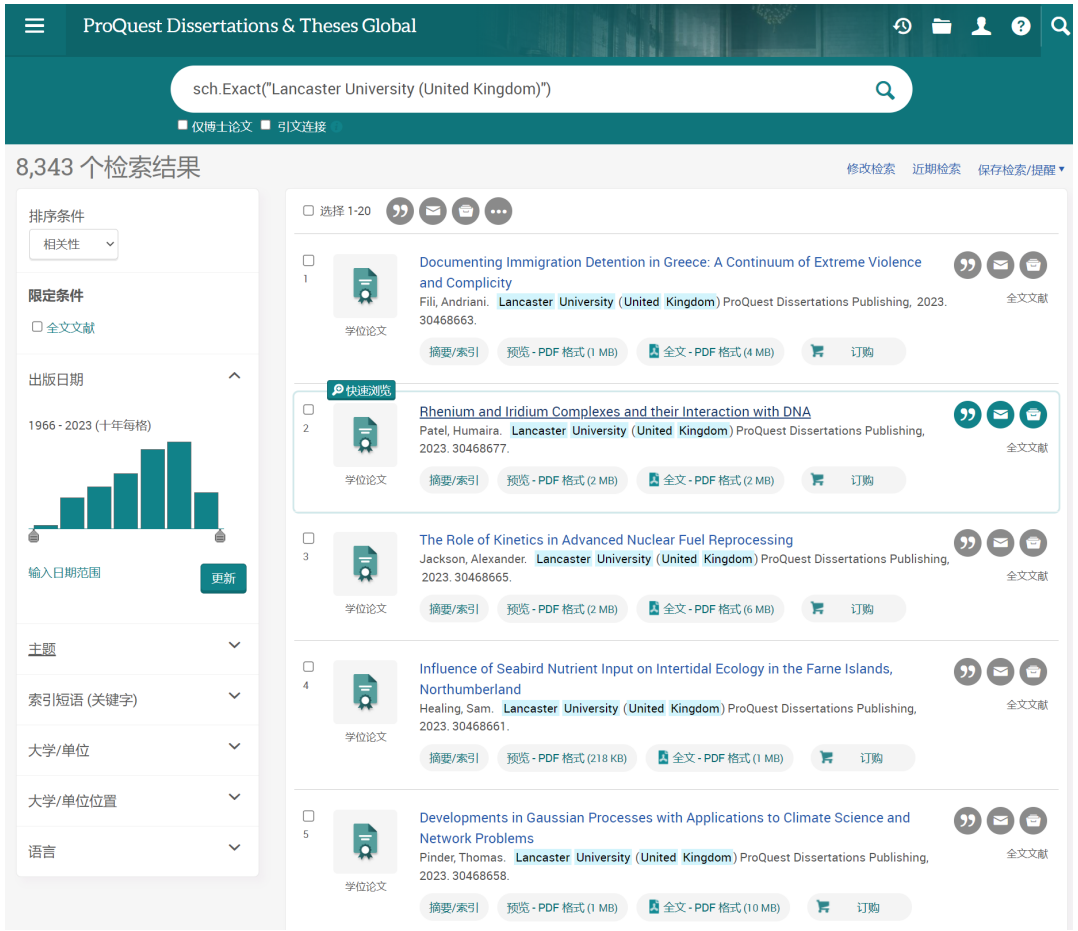

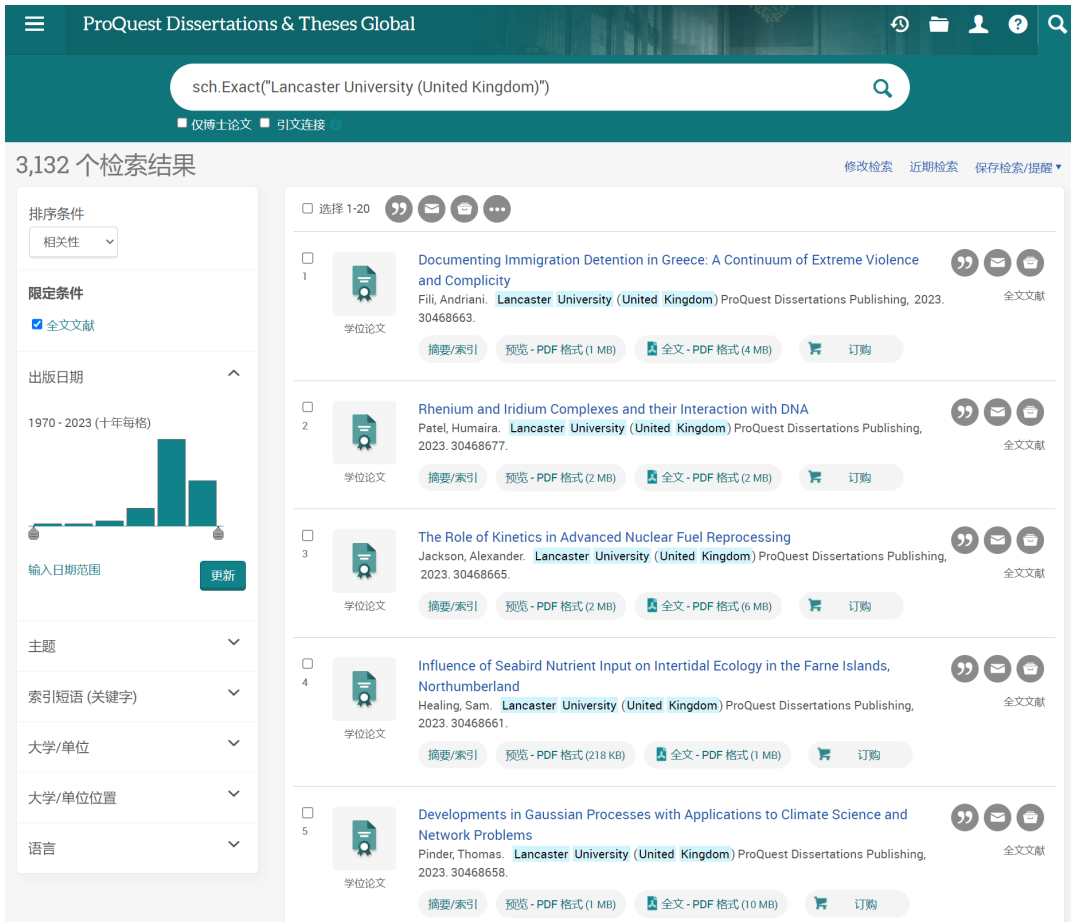

应用案例： PQDT Global助力英国兰卡斯特大学成为“全球重量级大学”

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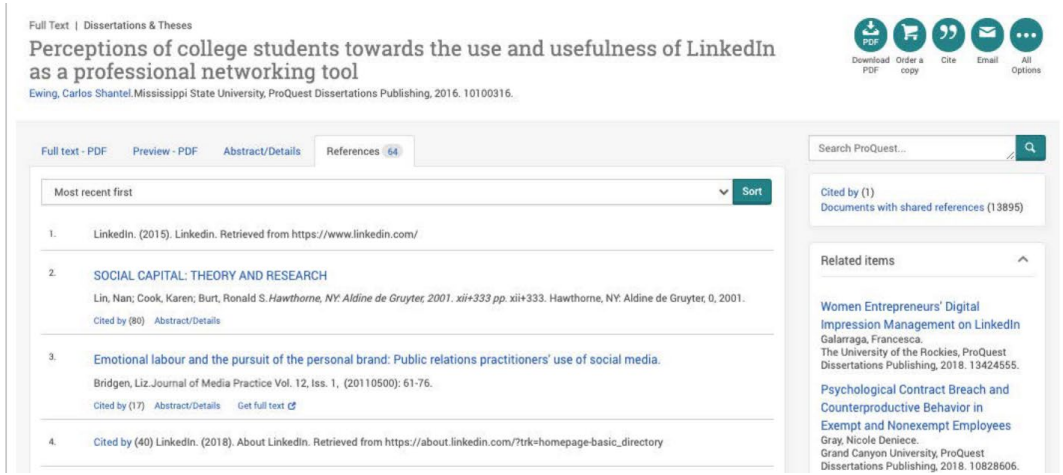
- 利用高水平学术著作为新手研究者提供丰富的见解和信息。
- 这一主题的相关文献十分有限，但通过大学图书馆发现层搜索（由 Summon API 支持），***这位学生不知不觉间幸运碰到了一篇来自PQDT Global的学位论文，题为“大学生如何看待 LinkedIn 作为职场社交工具的使用和作用”。***
- 对本科生来说，这些见解和信息对于他们着手开展自己的研究项目和学习如何评估可信的资料来源有着不可估量的价值。引导刚刚开始做研究的本科生了解学位论文及其作用可以避免他们在研究发现过程中早早遭受挫折，从而增强他们的自主性，让他们更有可能取得长期的学术成功。



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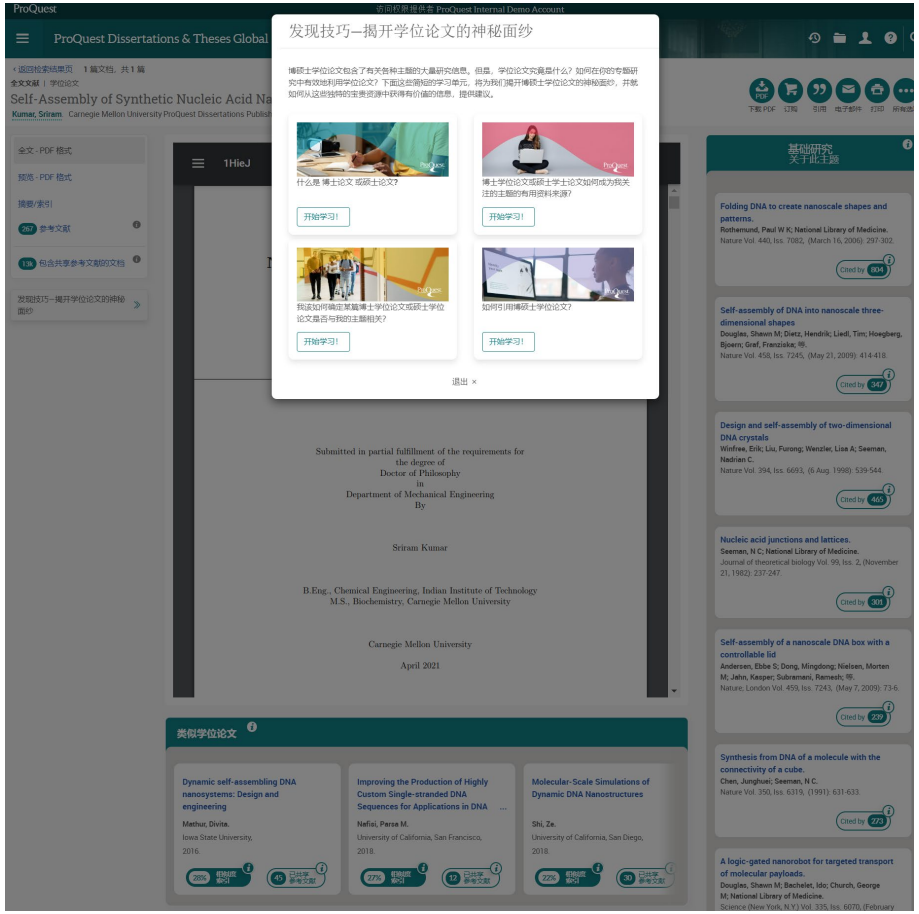

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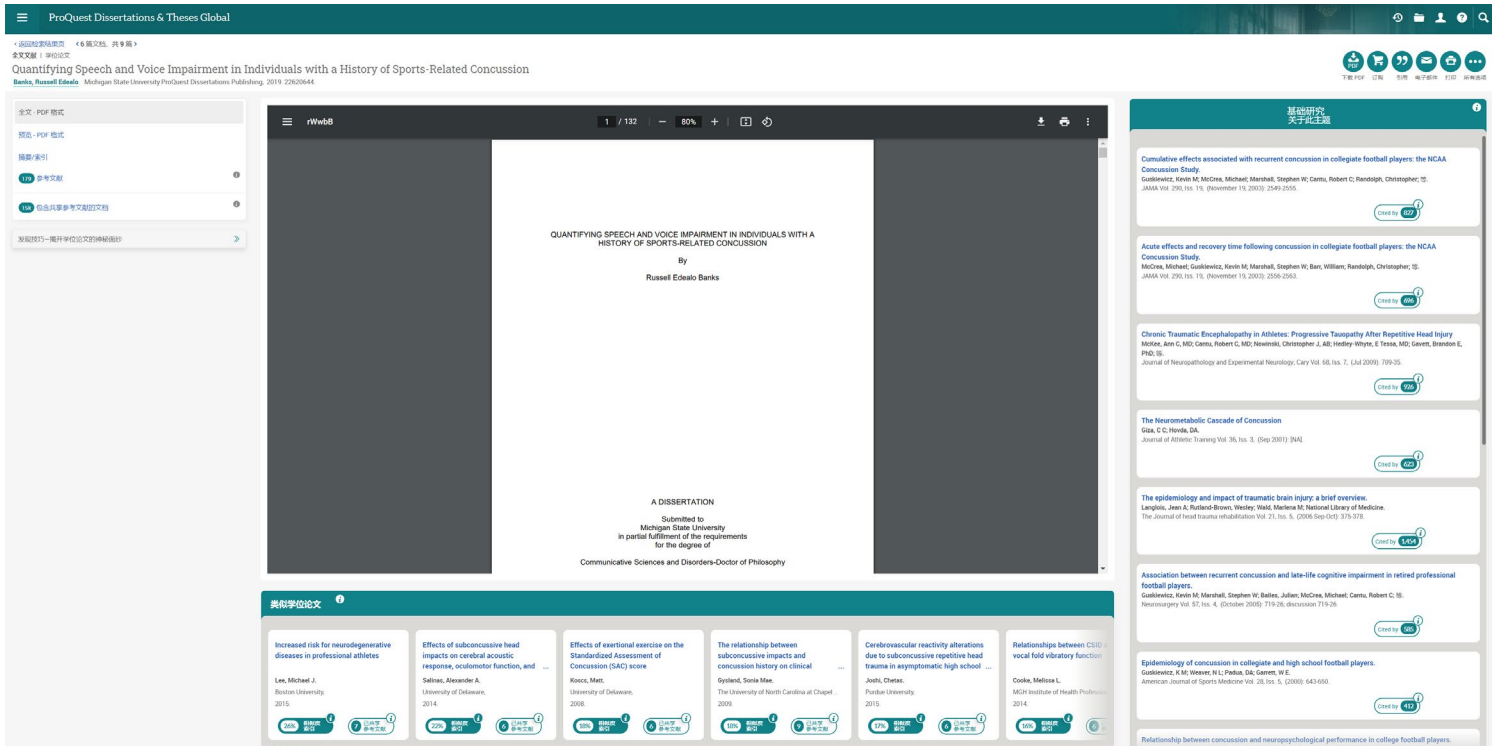

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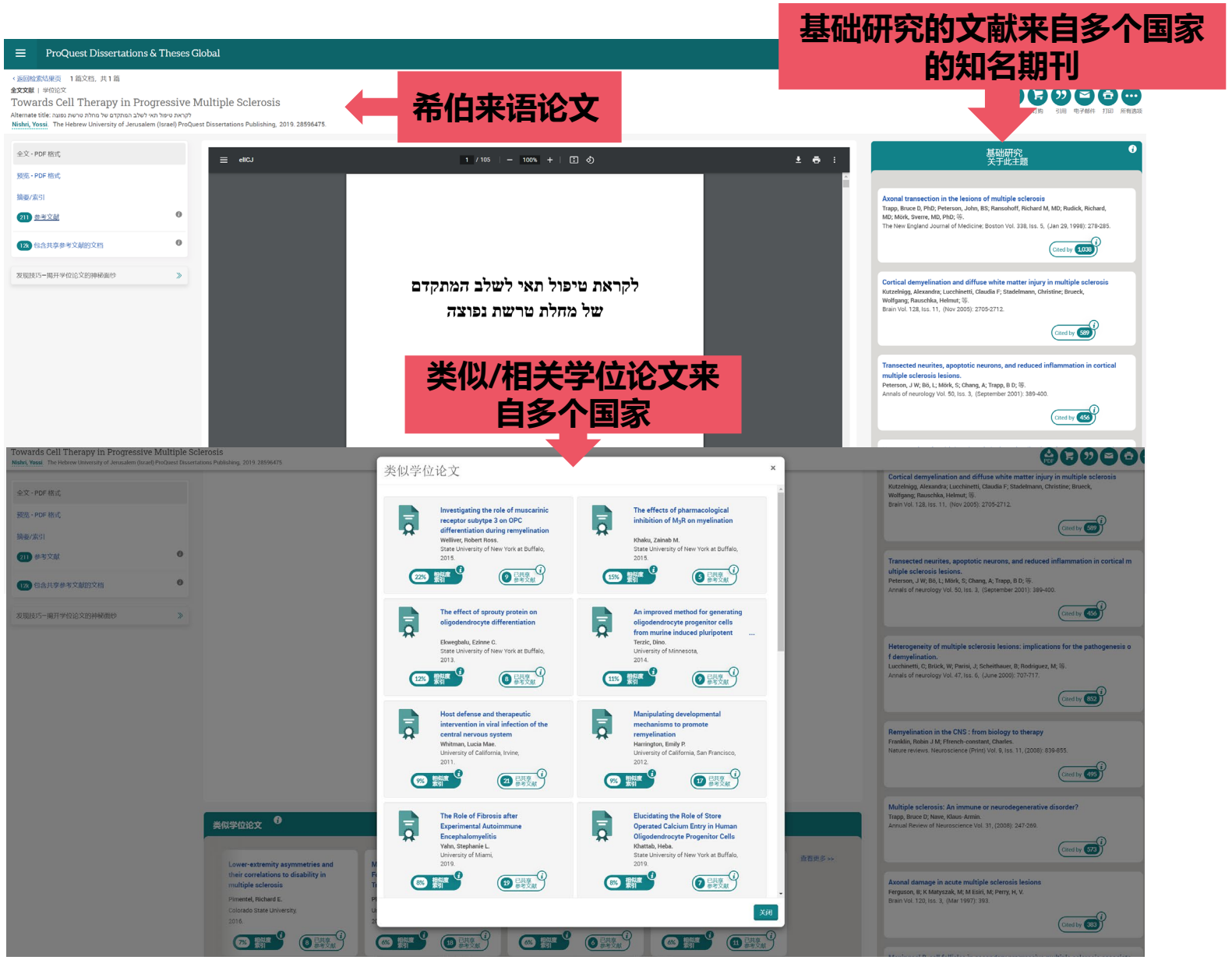

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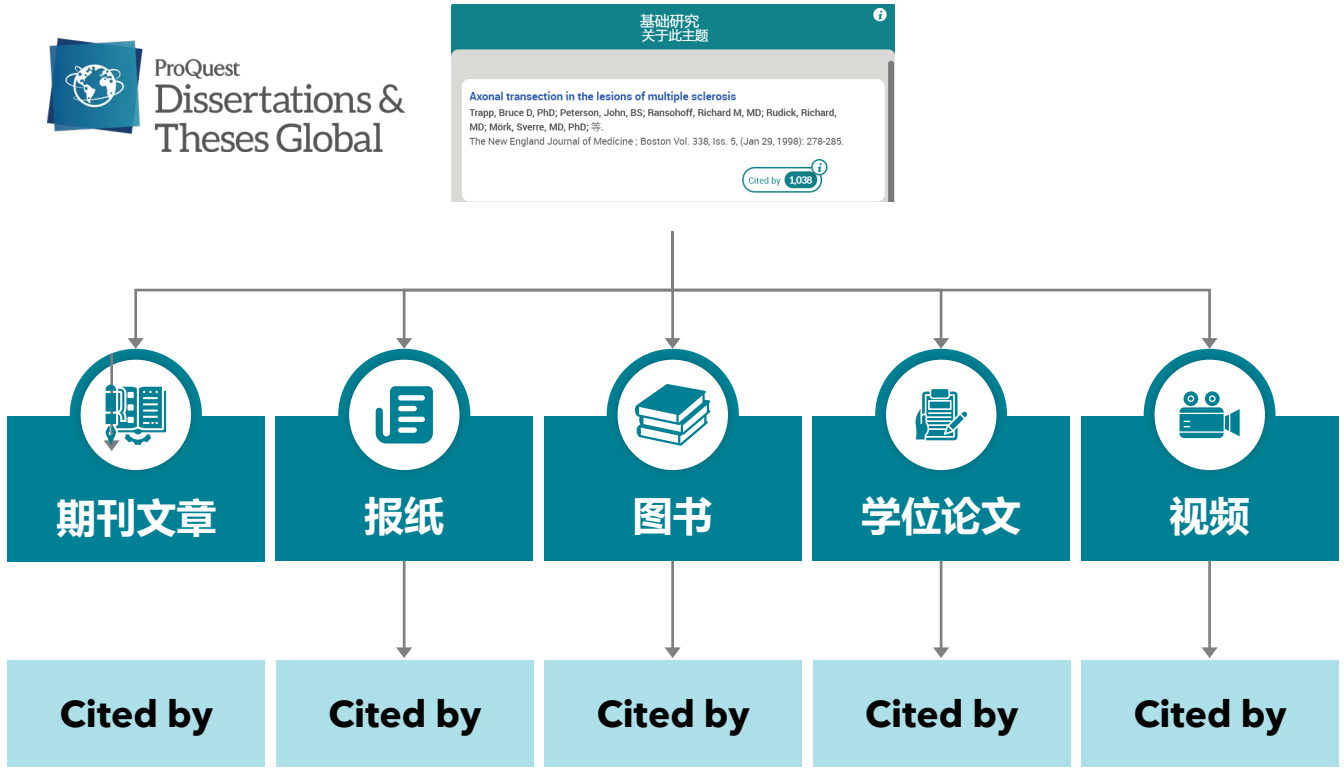

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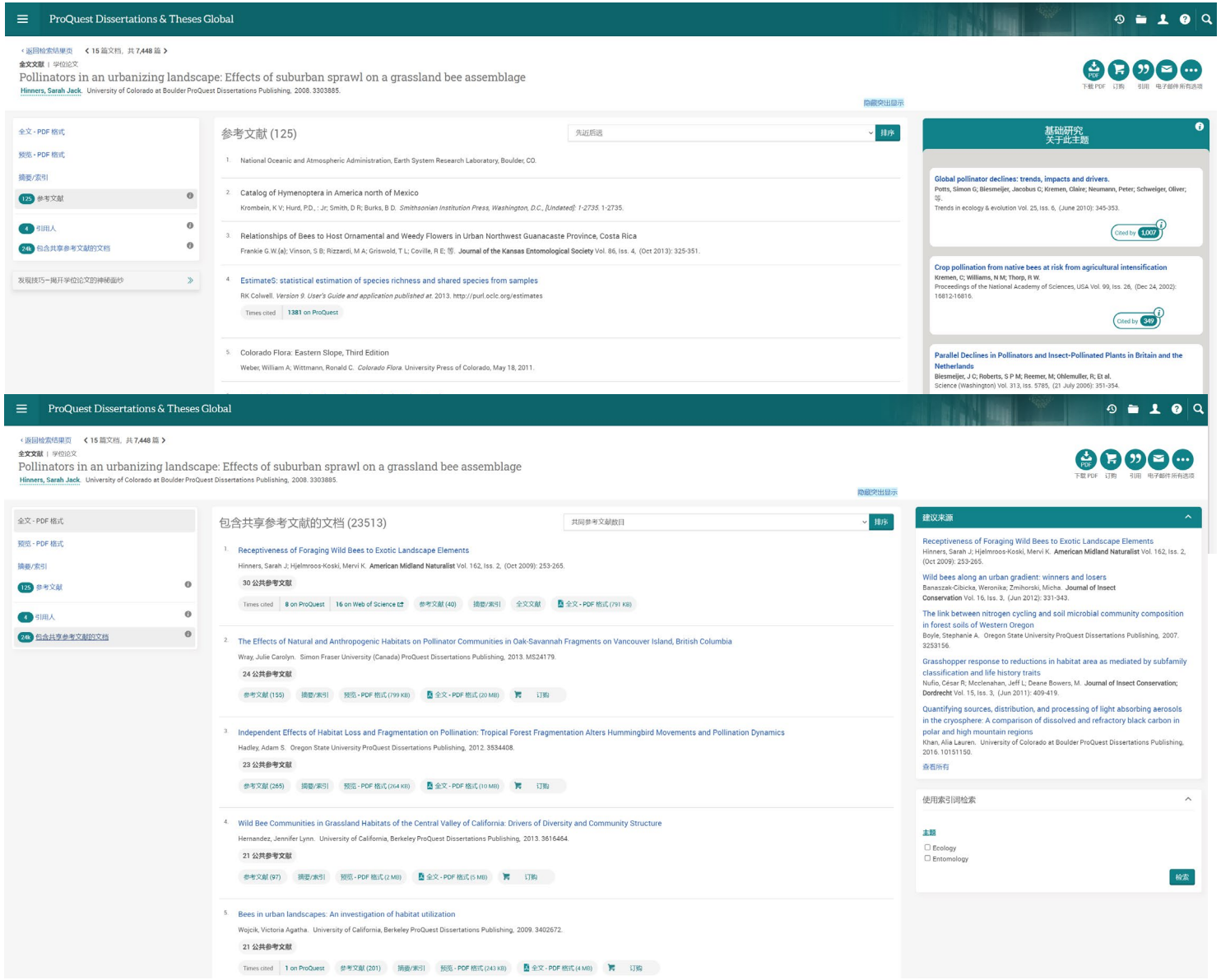
- 包含共享参考文献的文档（Documents with shared references）:与当前论文有相同参考文献的资料列表，用于查找类似的论文或同一研究领域的作者



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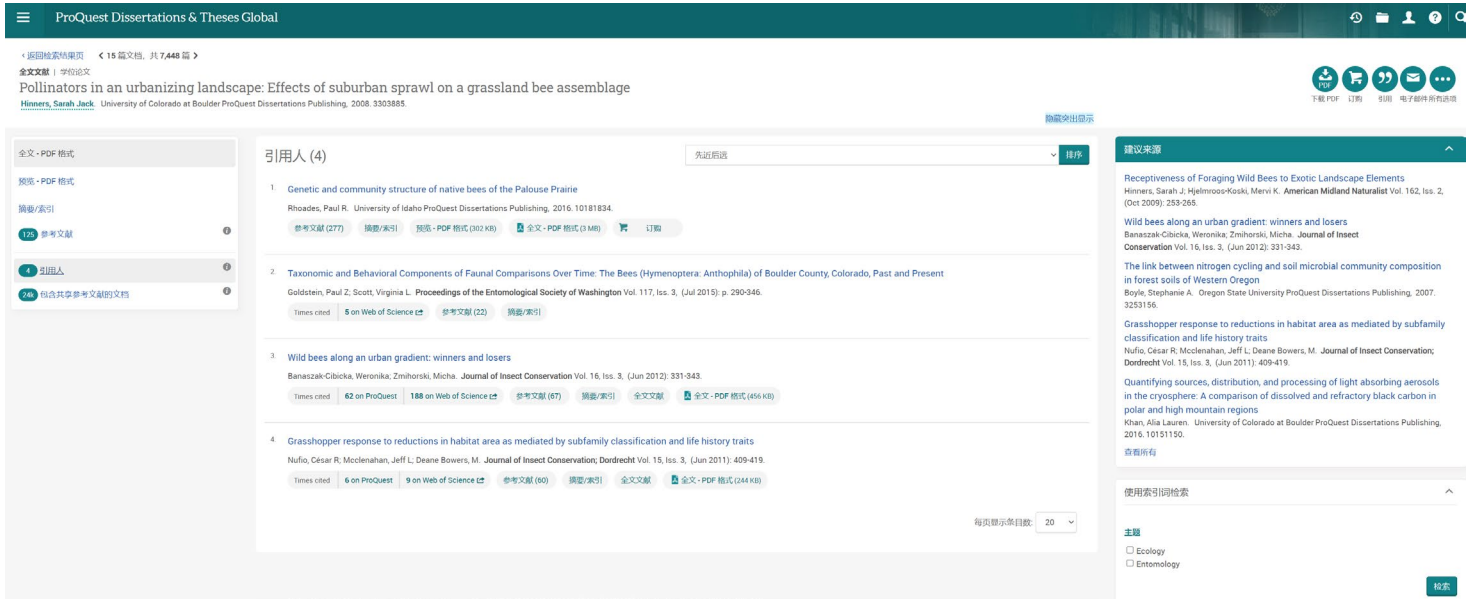

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